



## PhD in Exercise and Nutritional Sciences

Doctoral Student Handbook  
2019-2020

## Program overview

The PhD in Exercise & Nutritional Services (ENS) at Arizona State University was specifically designed to prepare scholars and leaders to address this growing health problem and help meet the ever increasing demand for developing effective physical activity and nutrition programs for all segments of society. **The mission of the program is to train research scholars to conduct high impact, multidisciplinary health promotion research in exercise and nutrition sciences. Our students and faculty conduct high quality, use-inspired research that promotes healthy lifestyles intended to reduce the physical, social and economic costs of unhealthy living.** While many healthy lifestyles are studied, the emphasis is on the promotion of physically active living and sound nutrition. In contrast to programs that divide nutrition science and exercise science into separate specializations, the ENS program integrates exercise and nutrition research with health promotion research using a problem-centered rather than a pure disciplinary approach.

The mission of the program is to train research scholars to conduct high impact, multidisciplinary health promotion research in exercise and nutrition sciences. Graduates of the ENS PhD at ASU are prepared for research careers in research-intensive universities, governmental agencies and health-related research positions in private industry. Students are strongly encouraged to pursue post-doctoral research opportunities upon graduation. The ENS PhD program is designed to allow students to tailor their course of study and research in three broad areas: 1) behavioral and population sciences; 2) metabolism and physiology; and 3) biomechanics and motor control.

The ENS PhD program requires an average enrollment of four years of full-time study after the Master's degree. The ENS program is designed to prepare students for broad academic opportunities and positions, including highly competitive research careers. Specific goals of the ENS program include the following:

- To produce highly skilled researchers with a solid foundation in the knowledge base underlying exercise science, nutrition science and healthy lifestyle promotion including an understanding of the empirical evidence, the underlying theories, strategies and principles, and the best practices in the field.
- To provide experts, for all levels of instruction, in the design, implementation, and evaluation of exercise sciences, nutrition sciences and healthy lifestyle promotion programs for a variety of priority populations.
- To prepare scholars who can provide leadership at every level to the evolving fields of exercise and nutrition sciences and health promotion.

**Prospective students.** Each fall, in order for students to learn more about the program, the faculty and our research activities, the ENS PhD program hosts an in-person Prospective Student Day. For more information, please see our website or reach out to [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu). **Prospective students must identify and contact a potential Mentor prior to submitting their application.**

## Admissions

### Admissions requirements and processes

Applicants must submit the Graduate College online application form. In addition to meeting Graduate College requirements, students must submit a letter of intent (answering predetermined questions and designating the name of a potential Mentor from a list of approved faculty Mentors), GRE scores (verbal reasoning, quantitative reasoning and analytical writing), a six to ten page writing sample, a professional curriculum vitae, and three letters of recommendation. Those who would like to be considered for a teaching or research associate (TA or RA) position would also need to complete the TA /RA application form.

**Pre-requisites.** Potential applicants must have earned a master's degree prior to admission and it is preferable that they have completed a research thesis. It is expected that students admitted to the program will have documented academic training and a strong interest in exercise science and nutritional science related to one of the following research emphases: 1) behavioral and population sciences; 2) metabolism and physiology; and 3) biomechanics and motor control. **All applicants must have taken a graduate level research methods and a graduate level research statistics course prior to admission.** In addition, depending on the student's academic background, scholarly interests and focus area, a student may be asked to take undergraduate courses to make up deficiencies prior to or concurrently with enrollment.

**English proficiency.** Applicants whose native language is not English must provide proof of English proficiency. Acceptable proof is as follows:

- TOEFL: score of at least 550 (PBT) or 80 (iBT). The TOEFL is administered by TOEFL/TSE Services. ASU's institutional code is 4007. ASU accepts only electronic copies of the TOEFL score report.
- IELTS overall band score of at least 6.5 with no band below 6.0. The IELTS is administered by the University of Cambridge. No institutional code is needed.
- Pearson Test of English (PTE) score of at least 60. The PTE is administered by Pearson.

**Process.** After prospective students' applications are complete, the applications are reviewed in batches and scored by an ad hoc faculty review committee. If a student passes this phase of the review, then students are then invited to a Zoom interview. After the interview phase, the ENS Executive Committee reviews the entire packet and votes on admissions for each student.

Final admission decisions are based on: 1) the compatibility of the applicant's research interests and career goals 2) available and willing ENS approved mentor, 3) previous academic training, 4) undergraduate and graduate GPA scores, 5) GRE scores, 6) interview, and 7) professional recommendations. Students may be denied admission if: a) their undergraduate or graduate GPA is under 3.0, b) they score below the 45th percentile on the GRE test, c) their stated research interests do not match those of an available mentor or d) there is insufficient university funding (either as a TA or an RA) or insufficient personal funding available to the student. Thus admissions may be limited by funding and/ or mentor availability. In other words, even if a student has high scores, if there is no funding available and/or no available mentor, he or she will be denied admission.

### Tuition costs, fees, and residency requirements

Information regarding current tuition, fees, and payment options please visit this [website](#). Do note this degree program does require a program fee in addition to regular tuition and fees. You may also find information regarding residency [here](#).

### Program administration

**ENS Graduate Coordinator(s).** The Graduate coordinators for the ENS program provide frontline support to students related to broad questions about application materials, iPOS, student files, and more.



**Amanda Kling**  
Program Coordinator Sr.

Last updated: June 2019

**ENS Program Director.** The ENS Program Director provides oversight to the ENS PhD program, coordinating all aspects including, but not limited to admissions, curriculum, exams, annual reviews, grad club advising, TA/RA evaluations, and ENS grants.



**Meg Bruening, PhD, MPH, RD**  
Associate Professor  
Nutrition

**ENS Executive Committee.** The ENS PhD program is an interdisciplinary academic degree offered by faculty from different academic administrative units at ASU. More than 30 research scholars with affiliations in Nutrition, Exercise Science, Health Promotion, Public Health, Nursing, Psychology, Sustainability, Global Health, Biology, Social Work, Bio-engineering, Behavioral Health and Integrative Physiology are approved mentors in the program. While the program is housed in the College of Health Solutions, the administrative locus of the degree program is a five member Executive Committee. This committee meets monthly to discuss program issues. The Executive Committee is composed of five members, at least two members from Nutritional Sciences (NTR) area and at least two members from the Exercise Sciences. The ENS Program Director serves as Chair of the ENS Executive Committee. In addition to Dr. Bruening, the ENS Executive Committee is comprised of the following faculty representatives:



**Marc Adams, PhD, MPH**  
Associate Professor  
Exercise Sciences



**Haiewei Gu, PhD**  
Assistant Professor  
Nutritional Sciences



**Edward Ofori, PhD**  
Assistant Professor  
Exercise Sciences



**Sonia Vega-López, PhD**  
Associate Professor  
Nutritional Sciences

In addition, ENS students elect a **student representative** to serve as a non-voting member on the ENS Executive Committee each year. For 2019-2020, the student elected to the position is Shannon Wilson ([slwils14@asu.edu](mailto:slwils14@asu.edu)).



The ENS Executive Committee votes on all petitions (e.g., committee changes, outside course requests, etc) and student-related topics (e.g., curriculum changes, exam changes, etc). The committee meets in person one time per month. If you have a request, please make sure that you submit it to the ENS committee with ample time to review and vote.

### **The Mentor Approach**

Students work with a Mentor from the beginning to the end of the doctoral program. The Mentor is selected by mutual agreement between student and faculty based upon shared research interests of the student and research expertise of the faculty. Prior to admission, the faculty member must state his or her willingness to mentor the student.

Last updated: June 2019

Tenure track faculty in the Exercise Science and Health Promotion and Nutritional Sciences Faculty automatically become ENS mentors when they are hired. Every five years faculty must renew their mentor status by submitting evidence of an active program of research productivity within the preceding three years, evidence of successful work with graduate students, an updated curriculum vitae (electronic only) and copies of three recent (within 3 years) first- or senior-authored publications to the Chair of the ENS Executive Committee. Tenure track outside of the ENS programs and research faculty must submit an application with the same information as listed above. The ENS Executive Committee votes on each potential mentor and renewal application.

**Table 1. ENS faculty mentors by primary research area.**

Motor Control & Biomechanics		
<p><b>Natalia Dounskaia, PhD</b>  <a href="mailto:Natalia.Dounskaia@asu.edu">Natalia.Dounskaia@asu.edu</a>            Associate Professor</p> <p>Control of human multi-joint movements, including arm movements and postural control, and adverse effect of normal aging and motor disorders.</p>	<p><b>Edward Ofori, PhD</b>  <a href="mailto:Edward.ofori@asu.edu">Edward.ofori@asu.edu</a>            Assistant Professor</p> <p>Multimodal imaging, nonlinear analyses, and biomechanical modeling to understand brain dynamics associated with sensory-motor interactions and memory, cognitive-motor abilities, and movement patterns. Biomarker discovery and pharmaceutical rehabilitation of neurologic disorders, and individual differences (e.g., gender and lifestyle) within neurological disorders and across the lifespan.</p>	<p><b>Daniel Peterson, PhD</b>  <a href="mailto:Daniel.Peterson1@asu.edu">Daniel.Peterson1@asu.edu</a>            Assistant Professor</p> <p>Understand the interaction between balance, cognitive deficits, brain structure, and falls in neurological populations such as people with Parkinson's disease and multiple sclerosis. How gait and balance can be improved (and falls avoided) through rehabilitation using principles of motor learning</p>
<p><b>Shannon Ringenbach, PhD</b>  <a href="mailto:Shannon.Ringenbach@asu.edu">Shannon.Ringenbach@asu.edu</a>            Associate Professor</p> <p>Assisted cycle therapy on motor, cognitive &amp; clinical functions in persons with Down Syndrome, intellectual disability, ADHD, stroke, physical &amp; mental health, behavioral neuroscience, etc.</p>		
Metabolism & Physiology		
<p><b>Siddhartha Angadi, PhD</b>  <a href="mailto:Sangadi@asu.edu">Sangadi@asu.edu</a>            Assistant Professor</p> <p>Effects of chronic exercise and diet on cardiovascular function in patients with congestive heart failure. Acute effects of diet and exercise on cardiovascular function in diseased populations. Weight loss independent effects of diet and exercise for modifying cardiovascular risk.</p>	<p><b>Jared Dickinson, PhD</b>  <a href="mailto:Jared.Dickinson@asu.edu">Jared.Dickinson@asu.edu</a>            Assistant Professor</p> <p>Mechanisms of muscle adaptation; mechanisms that contribute to the loss of muscle size and function with aging (i.e., sarcopenia); maximizing the ability for exercise and nutritional strategies to effectively preserve muscle health in older adults and accelerate functional recovery in at-risk clinical populations</p>	<p><b>Glenn Gaesser, PhD</b>  <a href="mailto:Glenn.Gaesser@asu.edu">Glenn.Gaesser@asu.edu</a>            Professor</p> <p>Acute and chronic impact of exercise and diet on endothelial function; weight-loss independent health benefits of exercise and diet; obesity paradox; physical activity assessment technology.</p>
<p><b>Haiwei Gu, PhD</b>  <a href="mailto:haiweigu@asu.edu">haiweigu@asu.edu</a>            Assistant Professor</p> <p>Research interests focus on mass spectrometry (MS)-based metabolomics and its applications in early disease diagnosis, drug metabolism, and biological sciences. We are skilled in the development, optimization, and applications of MS methods for both qualitative and quantitative measurements in various biological and medical studies.</p>	<p><b>Carol Johnston, PhD, RD</b>  <a href="mailto:Carol.Johnston@asu.edu">Carol.Johnston@asu.edu</a>            Professor</p> <p>Vitamin C: relationships with adiposity, vinegar: antiglycemic effects in health adults and individuals with type 2 diabetes, vegetarian diets, and low carbohydrate diets</p>	<p><b>Christos Katsanos, PhD</b>  <a href="mailto:christos.katsanos@asu.edu">christos.katsanos@asu.edu</a>            Associate Professor</p> <p>Metabolic responses in humans with obesity, insulin resistance, and Type 2 Diabetes. Use of stable isotope tracers and mass spectrometry techniques to investigate how fat metabolism and the skeletal muscle proteome are altered in humans under these pathophysiological conditions, and how abnormal responses under these conditions can be favorably modified by exercise and diet</p>
<p><b>Stavros Kavouras, PhD</b>  <a href="mailto:Stavros.Kavouras@asu.edu">Stavros.Kavouras@asu.edu</a>            Professor</p> <ul style="list-style-type: none"> <li>Hydration &amp; Glucose Homeostasis</li> <li>Childhood Nutrition, Hydration &amp; Obesity</li> <li>Hydration Assessment &amp; Biomarkers</li> </ul>	<p><b>Dorothy Sears, PhD</b>  <a href="mailto:Dorothy.Sears@asu.edu">Dorothy.Sears@asu.edu</a>            Professor</p> <p>Development of evidence-based, practical, scalable modalities for obesity-associated, chronic disease prevention that leverage functional interaction between healthy behaviors and nutrition. "Nucleotides to</p>	<p><b>Karen Sweazea, PhD</b>  <a href="mailto:Karen.Sweazea@asu.edu">Karen.Sweazea@asu.edu</a>            Associate Professor</p> <p>Exploration of potentially protective mechanisms existing in mammalian and non-mammalian organisms against complications that can arise in diseases associated with being overweight or</p>

- Fluid/Electrolyte Balance, Thermoregulation & Performance

public health” problem-solving approach, integrating multi-omics, behavioral, biomarker, and clinical outcomes. Specific interests include molecular mechanisms by which prolonged sitting time and intermittent fasting impact cancer and cardiometabolic risk and the mediating effect that functional foods may have on that risk.

having high blood sugar levels; Evaluation of functional foods in the reversal of complications associated with overweight and diabetes; cardiovascular impact of poor nutrition.

**Pamela Swan, PhD, FACSM, FTOS**  
[Pamela.Swan@asu.edu](mailto:Pamela.Swan@asu.edu)  
 Associate Professor

Exercise, obesity and health, Muscular-skeletal health consequences of severe weight loss (Post Bariatric Surgery), Regional adiposity, Effects of exercise on resting energy expenditure, Health effects of whole body vibration exercise.

**Natasha Tasevska, MD, PhD**  
[Natasha.Tasevska@asu.edu](mailto:Natasha.Tasevska@asu.edu)  
 Associate Professor

Developing biomarkers of intake; Dietary validation and calibrations studies and measurement error in self-reported diet; Epidemiological investigations of the effects of sugars on cancer, obesity and other chronic diseases.

**Floris Wardenaar, PhD**  
[Floris.wardenaar@asu.edu](mailto:Floris.wardenaar@asu.edu)  
 Assistant Professor

Focus on sports nutrition and sports performance research; development of new feeding concepts and nutrition assessment tools for athletes; hydration status, energy expenditure, nutrient intake and status, body composition and acclimation to extreme heat. Applied scientific approach; Lab is part of collaboration between School of Nutrition and Health Promotion, the College of Health Solution and Sun Devil Athletics. Close collaboration with the Sports Nutrition Department at Sun Devil Athletics

**Corrie Whisner, PhD**  
[Corrie.Whisner@asu.edu](mailto:Corrie.Whisner@asu.edu)  
 Assistant Professor

Broad research interests: metabolic disturbances in nutrition-related diseases, lifestyle interventions to prevent or correct chronic disease, and the influence of both genetic and environmental factors on health outcomes. Specific interests include Interactions between dietary intake and gut microbiome in relation to metabolic diseases; Mineral metabolism in at-risk, pediatric populations such as infants and adolescents; Functional food (prebiotics/probiotics) applications for health.

## Population & Behavioral Health Sciences

**Marc Adams, PhD, MPH**  
[Marc.Adams@asu.edu](mailto:Marc.Adams@asu.edu)  
 Associate Professor

Behavior change: walking, physical activity; Environment: city designs, walkability, transit environments; Intervention design: e-Health & adaptive interventions; Theory: behavioral economics; Measurement: pedometers, GIS/GPS; Primary prevention.

**Meg Bruening, PhD, MPH, RD**  
[Meg.Bruening@asu.edu](mailto:Meg.Bruening@asu.edu)  
 Associate Professor

Public health nutrition promotion and obesity prevention targeted to underserved maternal child health populations. Major topics include: Social epidemiology/social network/socio-environmental influences on eating and physical activity behaviors; food insecurity risk and resiliency factors; Developing and evaluating public health nutrition interventions, including school-and community-based/CBPR programs.

**Matthew Buman, PhD**  
[Mbuman@mainex1.asu.edu](mailto:Mbuman@mainex1.asu.edu)  
 Associate Professor

Dynamic interplay of sleep, sedentary, and more active behaviors for health promotion; Community-based interventions for mid-life and older adults; wearable sensors for 24-hour behavioral monitoring.

**Cheryl Der Ananian, PhD**  
[Cheryl.Deranianian@asu.edu](mailto:Cheryl.Deranianian@asu.edu)

**Jennifer Huberty, PhD**  
[Jennifer.Huberty@asu.edu](mailto:Jennifer.Huberty@asu.edu)

**Alexis Koskan, PhD**  
[Alexis.Koskan@asu.edu](mailto:Alexis.Koskan@asu.edu)

Associate Professor

The promotion of physical activity for older adults with an emphasis on utilizing physical activity as a secondary prevention strategy for chronic illnesses include arthritis and heart disease; community-based physical activity for older adults; translation and dissemination of evidence-based physical activity programs.

**Chong Lee, EdD, FACSM**

[Chong.Lee@asu.edu](mailto:Chong.Lee@asu.edu)

Associate Professor

Investigating the combined impact of lifestyle factors (i.e., physical activity, healthy diet, not smoking, etc.) on CVD and cancer mortality; developing new waist girth, body fatness, and physical fitness standards in children and adults (e.g. population-specific groups); and constructing new global prediction algorithms of CVD, cancer (i.e. colorectal, breast, etc.), and type 2 diabetes using health behaviors and health factors across race and sex groups.

**Punam Ohri-Vachaspati, PhD, RD**

[Punam.Ohri-Vachaspati@asu.edu](mailto:Punam.Ohri-Vachaspati@asu.edu)

Professor

Understanding social-ecological determinants of obesity, specifically the role of environments, and policies in influencing consumption behaviors and health outcomes; and study of nutrition interventions in community settings.

**Christopher Wharton, PhD**

[Christopher.Wharton@asu.edu](mailto:Christopher.Wharton@asu.edu)

Associate Professor

Lifestyle and dietary behavior change interventions, including screen-based and dietary behaviors; Vegetarian nutrition; Healthy food production and distribution; Food systems and sustainability and local food programs

Associate Professor

Physical activity (PA) adherence in middle-aged women, pregnant women, college females; relationship of self-worth to PA; social-support/group-based interventions (e.g., face to face and online); utilizing PA to improve grief/depressive symptoms in perinatal loss; utilizing technology to improve PA in women (i.e., text-message, mobile app); complementary approaches (e.g. yoga meditation) to improve mental, physical health, and quality of life in women and cancer patients.

**Rebecca Lee, EdD, FACS**

[relee6@mainex1.asu.edu](mailto:relee6@mainex1.asu.edu)

Professor

Community research with Hispanic populations in the US and Mexico to reduce health disparities. Use of innovative strategies, incorporating social cohesion, capitalizing on innovations in technology and improving the quality of neighborhood environments. Research grants focusing on social, environmental, community and virtual interventions to increase physical activity and improve dietary habits in Hispanic populations.

**Gabriel Shaibi, PhD**

[Gabriel.Shaibi@asu.edu](mailto:Gabriel.Shaibi@asu.edu)

Associate Professor

Effects of exercise on cardiometabolic disease risk (e.g., insulin resistance, metabolic syndrome, and type 2 diabetes) in overweight and obese children and adolescent Physiology of insulin resistance type 2 diabetes across the lifespan.

**Shawn Youngstedt, PhD**

[Shawn.Youngstedt@asu.edu](mailto:Shawn.Youngstedt@asu.edu)

Professor

Sleep, mental and physical health. a) The risks of long sleep. Both short sleep duration (less than 6 hours) and long sleep duration (8 hours or more) are associated with mortality and multiple morbidities. Although the risks of long sleep have been greater and more consistent than the risks of short sleep, we are one of the only groups that are experimentally studying long sleep. b) Non-pharmacologic means of improving sleep and mental health. We have conducted research examining the effects of exercise and bright light on insomnia, sleep apnea, and PTSD. We are also conducting studies of the effects of napping on health, and planning studies of sleep in competitive swimmers.

Assistant Professor

Preventing and controlling HPV-related cancers. Major topics include: Intervention studies aimed at increasing HPV vaccine series completion, working with various types of healthcare providers (e.g. primary care providers, infectious disease specialists, dentists, dental hygienists, etc.) to ensure HPV vaccine uptake and completion; HPV-related cancer screening interventions

**Scott Leischow, PhD**

[Scott.Leischow@asu.edu](mailto:Scott.Leischow@asu.edu)

Professor

Behavioral pharmacology research assessing the effects tobacco and electronic nicotine delivery systems (ENDS) use, (b) analyzing the role of social media regarding ENDS use, (c) smoke-free policy in the Navajo Nation, (d) clinical and community studies on tobacco treatment, and (e) analysis of social networks and systems regarding collaboration, dissemination and implementation of tobacco control practices and policies in multiple international locations.

**Sonia Vega-López, PhD**

[Sonia.Vega-Lopez@asu.edu](mailto:Sonia.Vega-Lopez@asu.edu)

Associate Professor

Assessment of diet and lifestyle factors that affect chronic disease risk among minority populations; development of culturally sensitive strategies to aid Latinos and other high-risk populations in chronic disease prevention; development of community-based interventions for chronic disease prevention and control among families; evaluation of the effects of diet and lifestyle modifications on chronic disease risk factors, obesity, metabolic diseases and diabetes management; effect of diets and dietary components on the metabolism of cholesterol and lipoproteins.



## Program Requirements

All students are expected to be actively involved in research at all stages of their doctoral study through their participation in research courses, independent research projects, research technical and skill building experiences, seminars and colloquia. Students are expected to be generally involved in all aspects of the professional and research culture of the program and as often as possible attend supplementary research seminars, journal clubs, colloquium and conferences as they are offered each semester. In addition, full-time students are expected to hold regular “office hours” or be on campus (office, class or lab) and be available regularly. The minimum total credits for the program is 97, with MS degrees counting for 30 credits. Up to 12 credits that weren't previously applied to another degree *may* be able to be transferred into the program.

**Statistics refresher for incoming students.** Prior to the start of the fall semester, all incoming students will be required to complete a self-paced, no-credit, online statistics module/tutorial that will serve to refresh students statistics knowledge and to help ensure that students will be on even playing field with regard to their statistics background prior to starting classes in the program. Incoming students generally have access to this course mid-June, and are expected to complete it by August 1<sup>st</sup>.

**Enrollment Guidelines.** All students must be continuously enrolled in a minimum of 1 credit hour every semester (excluding summers) for the entire time they are in the program over the course of the four-year program. Per ASU Policy, students who receive funding from ASU as a TA or RA, must be enrolled for a minimum 6 credit hours continuously. Graduate students register for courses through My ASU. Details regarding registration and course drop/add procedures are provided in the registration guide. The registrar will verify student enrollment. Audited classes do not count toward credit hours.

### Research Core (35 credits)

A total of 35 credit hours of statistics and research-related courses and/or experiences are required. 18 credit hours are required and 17 credit hours are elective. There are 5 classes (i.e., 2 statistics—EXW 640; EXW 645; 1 adv. research methods – EXW 700; 1 grant writing – EXW 701; and a research seminar (PhD Doctoral Research Seminar)—EXW/NTR 691) that comprise the “required” 15 credit hours. **Students may enroll in directed research (EXW /NTR 692 and/or EXW/ NTR 792) for up to 12 credits each.** Alternatively, students can take *other* applied research courses deemed appropriate. Courses outside of the ENS (NTR and EXW prefixes) program need to be petitioned to the ENS Executive Committee for approval prior to course enrollment. Please plan ahead for petitions.

ENS PhD students are expected to publish research articles throughout the program. To that end, each student is expected to complete research skill building / research experiences/ projects in the first few years, leading to the Dissertation. The student should be prepared to discuss the status of these research experiences/project at their Annual Review.

### Professional Development Core (5 credits)

**PhD Professional Seminar – EXW / NTR 691 (3 credits):** The purpose of the EXW/NTR 691 seminar is to prepare the doctoral students in ENS to become faculty and professionals in the nutrition, exercise, and/or health promotion fields. Various objectives of the class are: to prepare for future employment interviews; to be able to develop the components of an academic, annotated CV. Students will be able to describe the responsibilities of a faculty member in higher education including scholarly activity, publishing, teaching, and service. Students will discuss the process of tenure, grant writing, publication issues, mentoring graduate and undergraduate students, and issues of diversity. Students will also be able to describe examples of non-academic positions that are available to those with a PhD.

**Teaching Internship-- EXW 784 (2 credits):** The Teaching Internship (EXW 784) experience is designed to increase student teaching competency and awareness of the best practices in higher education teaching. The purpose of the Teaching Internship experience is to help prepare doctoral students in ENS to become teaching faculty. This is a 2 credit hour seminar that is offered in session B (second 7½ weeks) of the Spring semester. A student's past teaching experience cannot be used to fulfill this requirement.

### Focus Area (15 credits):

It is expected that all 15 credit hours in the focus area or theme will be in a focused content area within the program. Students will be expected to articulate what their specific theme or focus area is when they submit their iPOS. There are 3 focus areas to choose from: 1. Biomechanics and Motor Control; 2. Metabolism and Physiology, and 3. Behavioral and Population Health Sciences. Courses in the focus areas are determined by the student and committee members with approval by the ENS Executive Committee. No more than three credit hours in the focus area may be taken as "590 or 690" (i.e., reading and conference or independent study). No more than six credit hours in the focus area may be taken outside of NTR or EXW without approval from the Executive Committee. In addition, at least one course (3 cr. hrs.) in the focus area should have either a NTR or EXW prefix.

### Dissertation credits (12 credits):

Students may begin the dissertation research only after being advanced to candidacy (i.e., passed comprehensive exams and the dissertation proposal has been approved). Per ASU Graduated College policy, only 12 credits of dissertation may be listed on the iPOS.

The **Interactive Plan of Study (iPOS)** functions as a contract between the student, the academic unit, and Graduate College. Students must submit their iPOS by the time they have enrolled for 50 percent of the minimum credit hours required for their degree program (i.e., by the time you enroll in your 30<sup>th</sup> credit hour). Students who fail to submit the iPOS will not be allowed to register for classes or progress in the program. Students may not include on their iPOS any credit hours that have been applied towards a previously awarded degree. An iPOS is selected in consultation with the student's Mentor and Supervisory Committee and must be approved by the ENS program and Graduate College. An approved iPOS **must be on file prior** to completing comprehensive exams, proposal defense and /or dissertation. Directions on how to submit your iPOS is available [here](#).

Once submitted, the iPOS is automatically routed to the Director of the ENS program. The Director will do a final evaluation of the iPOS and will either approve it and forward it to Graduate College, or it will be sent back to the student electronically for revision. *Note: Director of the ENS PhD program is the final signature required for the iPOS.*

One of the unique features of the ENS program is that a student can tailor a plan of study (iPOS) to fit individual goals and research interests. According to ASU Graduate College policies and procedures, a maximum of 12 credits (that were not used in a previous degree) can be transferred into the iPOS from ASU or another institution. It is up to the discretion of the ENS Program whether transfer credits will be allowable. Please refer to ASU Graduate College policies and procedures for more information.

Changes to the iPOS. Often after the iPOS is approved, it still must be changed to accommodate changes to the committee or courses that are available. It is an easy process to change the iPOS. Simply make the changes and re-submit. The biggest error that students have is listing a course prefix or number on the iPOS that is not **EXACTLY** the same as what is on the transcript. If you encounter difficulty with editing your iPOS contact the ENS Graduate Coordinators and/or the ENS Director.

## **Committees**

Students will need to form several committees as they progress through the program (i.e., Supervisory Committee (which oversees the Annual Review, iPOS) and the Comprehensive Exams/Dissertation Committee. The student's mentor serves as the Chair of these committees and with the student is responsible for completing any forms and soliciting any signatures from committee members. Graduate College and the ENS program have specific eligibility criteria for faculty who chair and serve on committees.

Supervisory Committee. In the first semester, students begin forming their Supervisory Committee. The Supervisory Committee consists of at least three members, which must be approved ENS Mentors. Students can invite an additional

outside member to serve on this committee, but it is not necessary. The Supervisory Committee will approve the iPOS, and conduct Annual Reviews.

Comprehensive Exams and Dissertation Committee. The Comprehensive Exam and Dissertation Committee must have five (5) members and contain a minimum of three approved Mentors in the ENS program. This committee is responsible for administering and evaluating the comprehensive exams and providing oversight to the dissertation phase. The comps/dissertation committee does not have to be the same as the Supervisory Committee. While the Comprehensive Exam and Dissertation Committees do not need to be identical, students are encouraged to have the same members in order to better support the dissertation phase of the program. Students must have this committee listed and approved on the iPOS before the comprehensive exams can be taken.

Outside Committee Members. There are two types of outside members: those within the College of Health Solutions who are not approved faculty mentors of the ENS program, and other qualified individuals. All outside committee members are subject to approval by a vote from the ENS Executive Committee. Outside committee members should provide expertise not already available within the ENS mentor faculty. Eligibility and approvals for these outside committee members is as follows:

- Other Qualified Individuals. Students are encouraged to engage qualified individuals to serve on their dissertation committees. Other qualified individuals include tenure-track and research faculty within ASU and at other institutions, practitioners with recent practice, policy, and/or research experience relevant to the specific topic addressed in the dissertation (e.g., MDs, state health department administrators, industry partners, public health leaders, etc).
- College of Health Solutions Faculty: All non-ENS faculty must meet minimum independent research productivity (three first authored/senior authored peer-reviewed manuscripts) in order to serve on ENS students' committees. These faculty should complete the ENS mentor form as found in the appendices of the handbook.

Students must submit a Committee Approval Form when requesting special approval for a qualified individual to serve on comps/dissertation committees. **The form must be completed by the student and approved by the Student's Mentor, the ENS Executive Committee and the Graduate College (in that order).** An electronic copy of the nominee's Curriculum Vitae must accompany the form. Students must also provide a brief justification (<300 words) of the potential committee member(s)' **recent** practice, research, and/or policy experience relevant to the specific topic to be addressed in the dissertation. The Student Plan code for ENS is: ECNUTRIPHD. Please send the electronic form, justification, and CV to the Chair of the Executive Committee for approval. Then the approved form and CV are routed to the Graduate College for review. Once approved, the outside member will appear as an option on your iPOS. Make sure to submit the Committee Approval Request and Curriculum Vitae well before scheduling your defenses. Please note that the student is the point of contact and is responsible for disseminating decisions on outside committee members to their Mentor and to outside members.

Changes to Committee Members. If a student would like to change a committee member, they must submit a change in their iPOS. Changes must be approved by the ENS Director, and the Graduate College. At least 5 days should be expected for these approvals, and longer if ENS Executive Committee votes are necessary (the ENS Executive committee meets one time per month). Please plan accordingly.

## **PROGRAM MILESTONES**

There are program milestones that must be met to demonstrate continuous progress in the program. These benchmarks include: Annual Goals/Contract, Midterm Review; Annual Review, Progressive Exam, Written/Oral Comprehensive Exam and Defense, and the Dissertation phase (Dissertation Proposal Defense, Advancement to Candidacy, and Dissertation Defense). While the details of this process are outlined below, we have included a milestone checklist in the appendices. **Please note, unless otherwise noted, most deadlines are in the frame of business days (e.g., 10 days=10 business days).**

Annual Scholarship and Service Contract: All doctoral students must have their academic progress reviewed annually. All PhD students who have not been advanced to candidacy (i.e., those who have yet to pass their dissertation proposal), are required to develop a contract with their Mentor regarding their scholarly and service goals to be accomplished each year  
Last updated: June 2019

they are enrolled in the program. This agreement/contract is to be signed by the student and Mentor and submitted by October of each year. A copy of this signed agreement should be provided to: the Graduate Coordinator by emailing [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu) and to Dr. Bruening. **These contracts should be filed no later than October 15<sup>th</sup>.** A template of the scholarly goals and service contract is included in of this handbook. Dr. Bruening has examples upon request.

Midterm Review. All 1st and 2<sup>nd</sup> year PhD students and selected others will be asked to meet with the ENS Director in – the Fall (October or November) to discuss issues that concern the student, to determine if the student is on track and whether the program is meeting the student's needs. The review consists of a 10-15 minute informal discussion in the Director's office.

All TAs and RAs will be separately evaluated bi-annually (October and April) by their supervising faculty member. More detail about this review is provided later in this document.

Annual Review. All PhD students, who have NOT been officially admitted to *candidacy*\* will be evaluated annually by the student's supervisory committee. The review consists of a **30 minute** review of your annual contract and portfolio noting your accomplishments in research and service. *\*Students who have officially defended written and oral comps and defended the dissertation proposal and are currently conducting their dissertation research do not need to be evaluated.*

Procedures are as follows for the Annual Review:

1. Students are responsible for scheduling 3 mentors from their supervisory committee to attend their annual review interview. The reviews are to be scheduled during a regular workday M-F from 8 am to 5 pm during the month of APRIL and must be completed by the first Friday in MAY. The interview dates and times are to be mutually decided between the student and the faculty committee members.
2. Please provide the date and time of your interview to the Graduate Coordinator at [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu) 7-10 days prior to the scheduled review date so the Annual Review can be put on the master calendar. This time period is needed so that a space can be reserved for your meeting and because a member of the Executive Committee will be scheduled to attend the review and report to the ENS program Director the interview outcomes.
3. Prepare a portfolio of your annual accomplishments. A checklist of the materials to provide in the portfolio is presented in at the end of this document. Create an electronic pdf (please put into single file) copy of the portfolio and send to your mentor, your supervisory committee, the Graduate Coordinator and the ENS Director.

**Failure to satisfactorily accomplish/complete the stated objectives on the contract/agreement will indicate to the Committee that the student has not made satisfactory progress in the program and the student may be placed on academic probation.** If program progress is deemed unsatisfactory, steps for improvement (with timelines for correction) will be outlined in a letter to the student. Failure to make improvements within the given timeline after being issued a letter of unsatisfactory performance can be grounds for dismissal from the program.

#### Progressive Exams:

Ph.D. students are to take a progression exam on research design and statistics proficiency in May after they have completed the core ENS research methods and statistical courses. The progressive exams will focus on research methods (EXW 700) and statistics (EXW 640 and EXW 645); students need to have earned a B or higher in each of these classes before being able to sit for the exam. The aim of the test is to assess if students are able to demonstrate proficiency in applying their knowledge to real research design problems and statistical analysis. All material from the courses included in the progressive exam may be included in the exam. There will be less emphasis on memorization and hand computations and more emphasis on design logic, application, and interpretation.

The exam will be taken in a location where students do not have access to the internet, books, or notes. Each question of the exam will be graded (blindly) by two readers appointed by the Executive Committee. Instructors will provide a grading rubric to the reviewers that helps to standardize outcomes across students. The rubric is a guide, and does not include points or proportions needed; it helps in determining overall performance (i.e., pass vs. fail). The rubric will not be provided to students, as this is a proficiency exam. Readers will meet to discuss any discrepancies in scores. If discrepancies still remain, an additional reader will be solicited.

Students must pass all questions on the exam to continue to advance in the program. There are well-defined consequences for poor performance on progressive exams. A student must receive a pass from two of the three readers

to pass a question. The approximate benchmark for proficiency, as determined by the reviewers, for the exam have been established as:

Pass: Score of 70% or more

Fail: < 70%

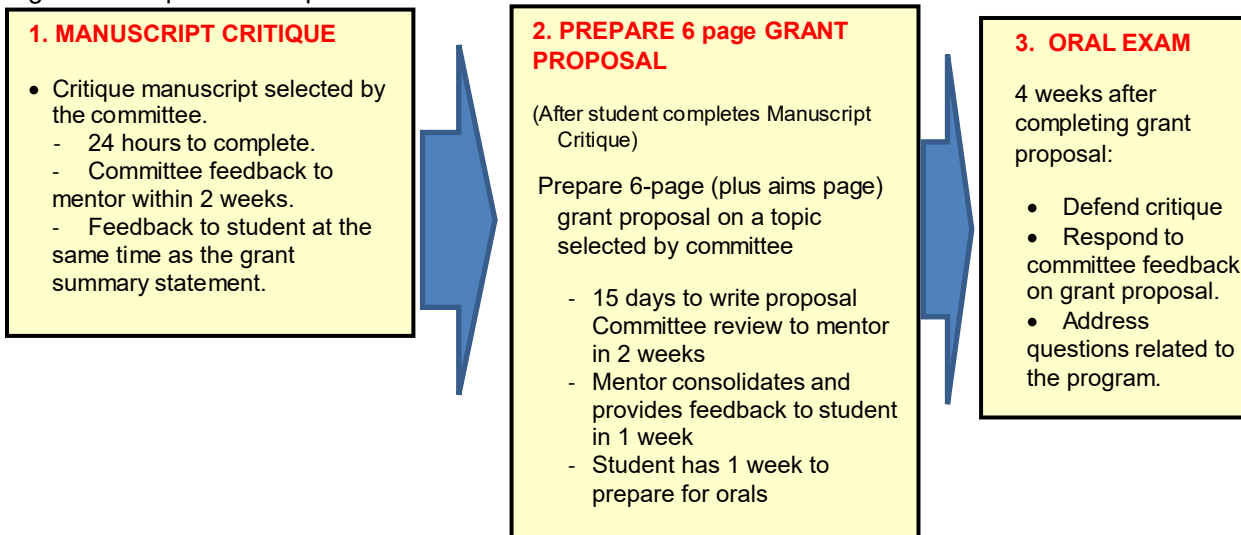
If a student 'fails' a question, then the student will need to retake that course (for credit) and retake that specific portion of the progressive exam the following year. A second failure is considered final and dismissal from the program will be recommended to the Graduate College.

### Comprehensive Exams:

Upon completion of most course work (six or less credit hours remaining in iPOS excluding dissertation hours), **and prior to proposing or commencing dissertation research**, students are to meet with their Mentor and their Supervisory Committee members to discuss preparing for their comprehensive exams. The student may not schedule the written comprehensive examination prior to the 4th semester in the program AND all students need to take and pass their comps by their 5<sup>th</sup> year (i.e., in the 9<sup>th</sup> semester) in the program. **Students should complete the comprehensive exams within two years of passing the progressive exam. Failure to do so will result in a loss of funding.** Students needing longer than this for justified reasons (e.g., prolonged illness) must submit a written petition to the ENS executive committee for consideration; there are no guarantees the ENS executive committee will grant an extension. (*Reminder: the iPOS must be approved and all members of the supervisory committee must be listed and approved on the iPOS prior to taking comps.*). All students must be registered for a minimum of one credit hour (including summer) the semester that they plan on taking and defending comprehensive examinations.

The structure and content of the comprehensive exams includes three components with distinct time guidelines (Figure 1)—1) Critique a Manuscript (24-hours), 2) Prepare a novel 6 page grant proposal (15 days) and 3) Oral Exam (1 week following feedback from the written portion).

Figure 1: Graphic of Comprehensive Exam Flowsheet and Time Line



1. **Manuscript Critique:** In consultation with the Comprehensive Exam Committee, the mentor will choose a journal article for the student to critique. The student will answer several questions and follow standard reviewer's guidelines from the journal to write their critique with special emphasis given to the major and minor revisions needed. The student will have **twenty-four hours** to complete and submit the critique to their mentor. The review must be done independently without collaboration or help from others. The mentor will distribute the written critique to the comprehensive exam committee for review. The student will be expected to orally defend their critique during the oral exam section of the comprehensives. The questions and grading rubric for the Manuscript Critique are included in the end of the handbook.

2. **Prepare a Novel 6-page Grant Proposal, plus Aims page:** Following completion of the manuscript critique, the student and their mentor will identify topics of potential interest and present those to the committee and seek their approval. These topics *must be different from any topic that the student has previously used for other grants including*

the EXW 700 and 701 courses, different from their mentor's prior research or any other grant the student may have previously worked on or written. In order for this exercise to be useful, it is suggested that the student (and their committee) choose topics that could be used for the student's dissertation topic. (Note: *Although similar to an R21 proposal, this 6-page plus aims proposal does not have to be constrained by a budget or time frame typical for an R21 grant.*) Further, to allow some additional flexibility with the grant format, the grant proposal could be in a pre-doc format appropriate for an identified funding agency.

Once the topics are approved, the mentor will choose one of the topics for the student to complete. The student will be given **15 total days** to complete the grant proposal and submit it to their committee. The grant must be done independently without collaboration or help from others. The committee will review the proposal using appropriate review guidelines and submit their reviews to the mentor within a predetermined time frame (typically two weeks).

The comments will be consolidated and blinded by the mentor (i.e., in a summary statement form) and shared with the student with exactly one week before the oral exam. The student will be expected to respond to the reviewer's feedback (point by point) and defend their grant proposal at the orals.

3. **Oral Exam:** The oral exam will be scheduled by the committee to be held at a mutually convenient time typically within 2 weeks of completing the review. Orals will be structured in 3 parts: 1) Defend questions regarding their manuscript critique regarding the major/minor revisions suggested, 2) Discuss and respond to reviewer's feedback of their grant proposal (Note: it is suggested that a PowerPoint presentation be developed to help direct the discussion "point by point" in response to the reviewer's feedback and 3) Respond to any additional generic or "big picture" questions related to their focus area in regard to exercise and nutrition science, and/or health promotion.

Comprehensive Exam Pass/Fail. After the oral exam, the Committee determines whether the student has passed or failed the comprehensive exams. Chair solicits signatures from the committee on the Report of the Comprehensive Exam Pass /Fail Form and submits the form to the Graduate Coordinator by emailing [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu) and the Director who will submit the results to the Graduate College. The student must pass all three sections of the Comprehensive Exam.

Retake process of the ENS PhD program comprehensive exam. The ENS executive committee has decided that setting a threshold score would not be an effective method to determining whether students pass or fail their comprehensive exam. Instead, a pass or fail will be determined by an overall vote by the students' 5-member comprehensive exam committee. Per university and ENS policies, students may only re-take their comprehensive exam(s) one time.

If a student passes their written and oral components of the comprehensive exam, then s/he is able to move on to the dissertation phase of the program (starting with the dissertation proposal).

A student may fail one or both written components of the exam. If they fail either portion, they will need to re-take those portions and conduct an oral defense of those written component(s). If a student passes one component of the written exam, they do not need to retake that portion. If the student fails both written components of the comprehensive exam, it is up to the comprehensive exam committee whether to hold the oral defense.

If the student fails the manuscript critique, the mentor and committee need to identify a new article to critique, and the student will have 24 hours to complete the critique. The comprehensive exam committee will provide feedback and conduct an oral exam of the manuscript critique.

If the student fails the grant proposal, there are two options based on the comprehensive exam committee's assessment of student readiness.

1. **Option 1, Revision with short-timeframe:** In this option, the student will revise the original question(s) from their first attempt of the comprehensive exam. Students will start the revision no later than 3 weeks from the date of their failed previous attempt. In addition to an updated aims page and 6-page research strategy, students will submit a 1-page introduction (see NIH procedures on revisions), summarizing what was changed in their grant.

**Option 2, New question with a long-timeframe:** In this option, the mentor and committee will select a NEW question for the student to write a grant proposal. This option may not be started any sooner than 3 months

from the original attempt. This option allows the student to gain additional mentoring or take additional classes in order to better prepare the student for a successful attempt.

In each option of the failed grant proposal, the student has 15 days to write the grant, followed by the same procedures of review and oral defense as the initial attempt at the comprehensive exam. A second failure is considered final and dismissal from the program will be recommended to the Graduate College.

#### Dissertation Proposal Defense:

In order for the committee to be able to contribute to the study design, research questions, and methodology, per Graduate College policies, students cannot begin their dissertation until they have passed their dissertation proposal defense. The dissertation proposal defense may not be scheduled until the student has passed the comprehensive exams. The student must **provide a formal dissertation proposal** to the Dissertation Committee at least 10 business days prior to the defense. The dissertation proposal should include the following components: a formal title page, introduction with a statement of purpose/ question/ specific aims and hypotheses, a complete literature review, and must describe in detail the methods to be used including descriptions of subjects, instruments, statistics and other procedures. The student will not be able to begin data collection until all approvals of the proposal have been completed and after all IRB approvals are done. In the ENS program, proposal defenses are public events. Within 10 business days of the proposal defense, please send an abstract and date of the event to [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu). Once the proposal is submitted, a room, zoom meeting and announcement of the event will be sent to the ENS faculty and students by staff.

**Students should defend their dissertation proposal within one year of passing their comprehensive exams.**

**Failure to do so will result in a loss of funding.** Students needing longer than this for justified reasons (e.g., prolonged illness) must submit a written petition to the ENS executive committee for consideration; there are no guarantees the ENS executive committee will grant an extension.

**NOTE:** ASU uses an online formatting tool that follows the [Format Manual](#) to generate a template into which you can insert your document's text. When the dissertation proposal has been approved, the committee determines whether the student has passed or failed the proposal defense. The Chair solicits signatures from the committee on the Report of the Proposal Defense Pass /Fail form and submits the form to the Graduate Coordinator by emailing [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu) and the Director who will submit the results to the Graduate College.

#### Advancement to Candidacy:

The Graduate College Program will send a letter indicating that the student has been advanced to candidacy once the comprehensive exams are passed and the dissertation proposal defense forms are approved and submitted. **Students should not enroll in Dissertation hours (EXW or NTR 799) until after being advanced to candidacy.** Doctoral students who have been advanced to candidacy are required to maintain continuous enrollment (at least 1 credit hour each semester) until all degree requirements have been completed and graduated.

#### **Dissertation Research**

After the proposal and IRB application have been approved, the student will undertake the approved dissertation project. Remember, the student will not be able to begin the dissertation if the proposal is not complete and approved. A total of 12 dissertation credit hours must be taken prior to graduation. It is highly recommended that the appropriate format be followed throughout each stage of the dissertation process from proposal to the final draft.

Students have two options for the dissertation:

- 1) A traditional dissertation, which is an in-depth volume describing (a) theoretical background and literature to date, (b) the methods and results of a research project, and (c) a detailed discussion of the strengths, limitations, interpretation and significance of the findings;
- 2) A series of publishable papers (typically 3 papers), with appropriate introductory and concluding sections. A three-paper format generally includes the following chapters: introduction, literature review (including theoretical background), methods, paper 1, paper 2, paper 3, discussion, and conclusions.

All students who conduct any research using human subjects are required to submit their research proposal to the Institutional Review Board, for approval prior to conducting their study. This procedure is necessary even for students who are doing secondary data analysis.

## Apply for Graduation

Prior to defending your dissertation, you must apply for graduation through the “Graduation” tab on your *MyASU*. At this point, there will be an audit to determine the status of your iPOS, submit documents for format review, and schedule the Oral Defense.

Check if you:

- Have an approved iPOS (no pending changes or petitions)
- Have met all minimum 3.0 GPA requirements (iPOS and Graduate)
- Have an approved full committee on the iPOS (no pending changes)
- Have satisfied all milestone requirements (for example, written comprehensive examination)
- Have reached candidacy
- Are an active student and currently enrolled

Scheduling Defense and Submitting the Document: After completion of the dissertation, the final format review of the document and oral defense is to be scheduled. The oral defense must be scheduled at least 10 working days before anticipated defense date. Please see [10-Working Day Calendar](#) for permissible defense dates. When scheduling via the My ASU page, the student will have an interactive calendar noting available dates. Once the request has been submitted, through My ASU, the request must be approved by the ENS program. Note: the defense is not officially scheduled until approved by the ENS program and the Graduate College.

Submitting your dissertation. Once the defense has been scheduled, the student must upload his or her complete, defense-ready document for format review to the Graduate College 10 calendar days prior to the defense.

1. Students must submit documents through their iPOS by clicking on the Format tab and uploading a Word or PDF document as an attachment. If you are attaching multiple files, the documents must be submitted as a compressed zip file.
2. The document should be uploaded to your iPOS 10 calendar days prior to the defense.
3. Documents should only be submitted after consultation with the student's committee/chair and must be a complete, defense-ready document (i.e. meets standards set by the [ASU Graduate College Format Manual](#), complete content).
4. The Graduate Format team will not review incomplete documents or those that have not been formatted according to the format manual. If students submit a partial or incomplete document, the document will be returned without evaluation and revisions will be requested before further review.
5. Students must be enrolled in at least (1) credit hour during the semester they plan to defend their thesis/dissertation and while working on format revisions.

## Oral Dissertation Defense

All ENS PhD students are required to hold a **public** defense of your dissertation on an ASU campus as part of your degree requirements. The dissertation committee should be provided an electronic copy of the “final” dissertation no fewer than 10 business days prior to the oral defense. Students should plan for 3 hours for their dissertation defense. In addition, an abstract of the dissertation and information about the defense time and location should be sent to [CHSGrad@asu.edu](mailto:CHSGrad@asu.edu) no fewer than 10 business days in advance of the oral dissertation defense (This allows the program to invite the public to attend the dissertation). The final exam includes first, a seminar open to the public, approximately one hour including questions from the audience, covering the substance of the dissertation. A closed-door meeting of the thesis committee and the student, up to 2 hours, follows. A vote of the exam committee is taken before and after the committee discusses the examination. Revisions and modifications may be recommended, even if the committee has determined the student has passed the exam.

Committee Presence at Defense: You and your committee chair (or one co-chair) and at least 50% of your committee must be physically present at the defense. If the chair or 50% of the committee cannot be physically present, then the oral defense must be rescheduled to another date. If you have a member(s) who cannot be physically present at the defense that committee member may participate in the defense in one of three ways. These options are listed in the order of preference:

- The absent committee member videoconferences into the defense location.\*
- The absent committee member teleconferences into the defense location.\*



- The absent committee member provides a substitute to be physically present (approved by the committee chair, the head of the academic unit & graduate college) for the defense only. The substitute must be someone who is approved to serve on graduate supervisory committees for that program. The absent committee member should provide the substitute questions, in writing, to be asked at the defense. The substitute, although respecting the opinions expressed by the regular committee, must be free to use his/her judgment in voting on whether the student passes or fails the defense. (\*Assume appropriate technology is available.)

On the day of your defense, the Pass/Fail form will have already been sent to your committee chair from the Graduate College for your committee to sign. It is the responsibility of the committee chair to take the form to the defense location.

Degree Completion / Final Revisions. The student is to make any final corrections to the dissertation as recommended by the committee and mentor and then the final version of their document (that has been approved by the mentor and dissertation committee) is evaluated by a format reviewer in Graduate College and submitted to UMI/ProQuest for printing.

Keep in contact with the Format Advisors ([gradformat@asu.edu](mailto:gradformat@asu.edu)) as well to complete all format changes. To avoid jeopardizing your graduation, be sure to submit your final revisions by the posted semester deadline (graduation deadlines). If the deadline is not met, the student will be required to register (and pay) for one (1) graduate-level credit hour the following semester to be able to graduate. The candidate should report to the Graduate Coordinator any classes on their iPOS (especially the dissertation classes EXW/NTR 799) that may have an incomplete or grade of "Z". Be proactive and follow-up with your mentor and the Graduate Coordinator to ensure that all grades are entered. A student will not receive a letter of degree completion until all final grades are entered.

Revision Process. After making the required corrections outlined in the email and reviewed the entire document, then upload the document to the Graduate College via your iPOS. (NOTE: A format advisor checks your work against the Format Manual requirements. They also spot-check for misspellings, inconsistencies, typographical errors, and grammatical problems, but a thorough review of the entire document for these errors is the responsibility of the student and his / her chair.). Turnaround time for review fluctuates depending upon the volume of documents, and increases as the semester deadlines approach, students should expect a response within 3 – 5 business days. This process will continue until your document is ready for electronic submission through UMI/ProQuest.

Final Submission to ProQuest. Student will receive an email from the Graduate College format advisor notifying you that your document is ready for electronic submission through UMI/ProQuest. Read the email carefully as you may receive instructions before final submission to UMI/ProQuest. You must have received format approval from the Graduate College and submitted your Pass/Fail form to the Graduate College in order to be eligible to complete the final step of submitting to ProQuest.

## Other Procedures and Policies

**Research standards for publication of dissertation.** Graduate research is the study of an issue that is of sufficient breadth and depth to be publishable in a respected ENS-related journal. The effort should reflect a minimum of 1500 hours of thoughtful work for a dissertation (PhD). The research should follow the 'scientific method' and thus be both objective and reproducible. The dissertation should demonstrate independent, original, and creative inquiry. There should be predefined hypotheses or developmental goals and objectives that are measurable and can be tested. The document should demonstrate proficiency with written English and should conform to the Office of Graduate Education format guidelines. Students should begin their dissertation only after they have passed their comprehensive exams.

**Incomplete grades.** The College of Health Solutions will consider an incomplete grade request when the following factors are present:

- The student has been completing acceptable work (grade of C or better) and has completed 80% of the course.
- The student is unable to complete the course due to illness or conditions beyond the student's control.
- The student can complete the unfinished work with the same instructor.

Students have up to one calendar year to finish incomplete work. If a student does not complete the missing coursework by the date that is agreed upon on the incomplete request form, the instructor may change the grade to what was earned based on the work completed in the class. If the coursework is not completed after a calendar year, the incomplete becomes permanent. Repeating a class in which an incomplete is awarded will not replace the "I" on the student's transcript. Students must complete the incomplete request form and submit it to their instructor for review and processing.

**Continuous enrollment.** Once admitted to a graduate degree program or graduate certificate program, students must be registered for a minimum of one credit hour during all phases of their graduate education, including the term in which they graduate. This includes periods when students are engaged in research, conducting a doctoral prospectus, working on or defending theses or dissertations, taking comprehensive examinations, taking Graduate Foreign Language Examinations, or in any other way utilizing university resources, facilities or faculty time.

Registration for every fall semester and spring semester is required. Summer registration is required for students taking examinations, completing culminating experiences, conducting a doctoral prospectus, defending theses or dissertations, or graduating from the degree program. To maintain continuous enrollment the credit hour(s) must:

- Appear on the student's *Plan of Study*, OR
- Be research (592, 792), thesis (599), dissertation (799), or continuing registration (595, 695, 795), OR
- Be a graduate-level course.

Grades of "W" and/or "X" are not considered valid registration for continuous enrollment purposes. "W" grades are received when students officially withdraw from a course after the drop/add period. "X" grades are received for audit courses. Additionally, students completing work for a course in which they received a grade of "I" must maintain continuous enrollment as defined previously. Graduate students have one year to complete work for an incomplete grade; if the work is not complete and the grade changed within one year, the "I" grade becomes permanent. Additional information regarding incomplete grades can be found at [asu.edu/aad/manuals/ssm/ssm203-09.html](http://asu.edu/aad/manuals/ssm/ssm203-09.html).

**Leave of absence.** Graduate students planning to discontinue registration for a semester or more must submit a Request to Maintain Continuous Enrollment form. This request must be submitted and approved before the anticipated semester of non-registration. Students may request to maintain continuous enrollment without course registration for a maximum of two semesters during their entire program. Students who do not register for a fall or spring semester without an approved Request are considered withdrawn from the university under the assumption that they have decided to discontinue their program. Students removed for this reason may reapply for admission to resume their degree program; the application will be considered along with all other new applications to the degree program. A student with a Graduate Education approved Request to Maintain Continuous Enrollment is not required to pay tuition and/or fees, but in turn is not permitted to place any demands on university faculty or use any university resources. These resources include university libraries, laboratories, recreation facilities, or faculty time.

**Time limits.** Doctoral students must complete all program requirements within a ten-year period. The *ten-year* period starts with the semester and year of admission to the doctoral program. In addition, the student must defend the

dissertation within five years after passing the comprehensive examinations. Any exceptions must be approved by the Supervisory Committee, Executive Committee, and the Vice Provost for Graduate College and ordinarily involves repeating the comprehensive examinations. The Graduate College Program may withdraw students who are unable to complete all degree requirements and graduate within the allowed maximum time limits. Students' supervisory (comprehensive exam and/or dissertation) committee may also include additional time limits if the student is not performing up to standards for the program.

**Satisfactory Academic Progress.** Per Graduate College guidelines, graduate students must maintain a minimum 3.00 grade point average (GPA) to maintain satisfactory academic progress and to graduate. Students whose cumulative GPA falls below 3.00 are placed on academic probation, receive an advising hold on their account, and are required to complete an academic performance improvement plan. If students are unable to raise the GPA to a 3.00 within nine credit hours or one year (whichever comes first), the program standards committee may recommend the student for dismissal from the program.

**Summer defenses.** Students planning on defending oral exams, proposals, or dissertations in the summer, must register and pay for at least one credit hour of coursework. Students can register for any summer session; it does not have to be the same session in which you are defending; however, students must be registered before the defense can be scheduled. (Note: most students need to pay out of pocket for this credit and related fees, as it is rare for the program to cover tuition in the summer.)

**Assistantships.** All RA and TAs within the program are competitive. RA and TA positions consist of a nine-month position (August – May) and include a full tuition waiver. In the College of Health Solutions, TAs are limited to the first two years of students' enrolment of the program. All students must have the expertise, experience and willingness to be a TA and teach courses or laboratories in the ESHP or NTR undergraduate curriculum or be an RA as funding allows. An ASU Graduate Assistantship (TA/RA) handbook and policy Manual is available from the Graduate College ([TA/RA handbook](#)) to provide an overview of ASU policies and support services pertinent to teaching and research assistants and associates.

- **Eligibility:** In order to be eligible to receive an appointment as a TA/RA, a student must be regularly admitted to and enrolled in the graduate degree program. During the fall and spring semester, a TA/RA must be enrolled for a minimum of six hours. During the summer session(s) a TA/RA must be enrolled for a minimum of 1 hour. Audited courses or undergraduate courses may not be used to fulfill this requirement.
- **Training for TAs:** All new TAs are required to participate in the graduate [Teaching Assistant Development \(TAD\) program](#) prior to and during your first semester as a TA. The TAD Program has three required components: Pre-orientation modules, On-site Orientation, Development Experiences. The supervisory instructor may also have training that is required. Students are encouraged to communicate with their course supervisor(s) as soon as possible.
- **Reappointment:** TA/RA appointments are, by definition, term appointments. TAs/RAs should not assume that they will be reappointed merely because no notification or termination at the end of the appointment period has been received. Reappointments are subject to and contingent upon the continuing availability of funds and the TA's/RA's satisfactory performance. TAs/RAs are limited to the first two years of enrollment. In considering reappointments, the hiring unit or project director must consider the TA's/RA's contribution to the objectives of the unit or project along with the associate's academic progress.
  - **Evaluations.** TAs/RAs will be reviewed biannually (October and April) to inform students as to their progress and outline areas for improvement if necessary. Evaluation of performance shall not be based on sex, age, disability, race, color, religion, marital status, veteran status, national or ethnic origin, or sexual orientation or gender identity, nor shall it be influenced by a student exercising protected rights to freedom of expression or association. These reviews will include an evaluation of the student's abilities and behaviors concerning completion of assigned tasks; ability to work independently once tasks are explained; ability to analyze problems and find solutions; cooperation with supervisors and other TAs/RAs; and professional behavior. These reviews will be communicated in writing to the student concerned. The TA/RA should subsequently sign the evaluation and may append a response. The evaluator should provide a copy to the student and forward a copy of these documents to the student's advisor and the head of the academic unit for placement in the student's official file. Should a student

receive a negative review (i.e., score of 3 or lower), then the student will be given one semester to improve. A student who receives a second unsatisfactory review, will NOT have their position renewed.

- **Termination:** In the rare instance that a TA/RA is to be terminated prior to the end of the appointment period, then the TA's/RA's supervising faculty member or head of the academic unit should write to the student describing the reasons for the action. The dean of the academic college (when applicable) and the Vice Provost of Graduate College should receive copies of the letter. Within 10 days of the receipt of the notice of termination, the TA/RA may appeal the decision at the unit and college level. If a TA/RA is unable to continue an appointment, he or she must inform the supervising faculty member and the ENS Program Director in writing of the reasons for the action, with the understanding that the student will lose financial support.
- **Summer funding:** TAs are NOT available over the summer. RAs are dependent upon funding. Students who want to teach in the summer are encouraged to apply to be a Faculty Associate (FA) upon availability. Any openings for summer teaching are filled from a general pool of FA applicants.

**Academic Integrity.** The highest standards of academic integrity are expected of all graduate students, both in the academic coursework and in their related research activities. The failure of any graduate student to meet these standards may result in serious consequences including suspension or expulsion from the university and/or other sanctions as specified in the academic integrity policies of individual schools as well as the university. Violations of academic integrity include, but are not limited to: cheating, fabrication, tampering, plagiarism, or aiding and/or facilitating such activities. At the graduate level, it is expected that students are familiar with these issues and each student must take personal responsibility in their work. In addition, graduate students are expected to follow university guidelines related to the Student Code of Conduct. University policies related to academic integrity and code of conduct are available in the Office of Student Life, or [here](#).

**Graduation Ceremony.** Per ASU policy, students completing a doctoral program may only participate in graduation ceremonies if all degree requirements are met prior to the deadlines for that semester. Students who need an extra semester to complete coursework must defer their graduation to the next term and participate in the next available ceremony.

## **Conduct and Conflict Resolution**

**Grievances.** If a student had a grievance, s/he first files thorough documentation with the Director of the ENS Program, who will then share the situation with the ENS Executive Committee. The ENS Executive Committee will review the evidence and documentation, and vote on a solution. A student can appeal the ENS Executive Committee's decision to the Associate Dean of Graduate Education of the College of Health Solutions. Documentation of decisions regarding student grievances will be shared with the ASU Associate Dean of Graduate Academic Affairs.

**Mentor changes.** Mentoring and being mentored is a two-way relationship and it takes work. A student is accepted into and retained in the program ONLY if a mentor agrees to work with them. In other words, a student's acceptance into the program is a significant commitment of time and resources by the mentor and is a career-altering decision by the student. Both parties must communicate clearly and listen carefully to each other.

Occasionally students are confronted with the position of wanting to change mentors. If a student determines that she or he is struggling with working with a specific mentor because of a personality conflict and /or if they find that they have a change in research focus that their current mentor cannot support, then the first thing to do is TALK with your mentor, the ENS Program Director, or one of the Executive Committee members about this right away. Often these issues can be relieved by simply opening a clear line of communication and/or by developing a co-mentoring relationship with other faculty. The ENS executive committee will also support measures to mediate the situation.

If it is decided that you still want to petition the Executive Board to change mentors, then the following procedures should be followed:

- Students must document in their petition, a timeline of the steps that they have taken to relieve the conflict. Please identify who you spoke with, when, and what has been tried thus far to relieve the conflict.
- Identify in your petition what the issues are and why you are requesting the change.
- Describe what possible solutions or remedies of the situation that you are recommending (i.e., a change in focus area, TA/RA position, or a change in mentor).

Once submitted, The ENS Executive Committee will review the petition and will ask the mentor and/or student to come to the meeting to describe his/her perspective of the situation. The ENS Executive Committee will discuss whether a change in mentorship is the best solution for both parties. The ENS Executive Committee will decide whether it will recommend that the student identify another mentor within the program with overlapping interests and one who is willing to work with them. Be aware, that it is not always possible to find an alternative approved mentor with the expertise and availability needed in the program. If an appropriate alternative mentor is not available, then the student may need to withdraw from the program and find a program that is better suited to their needs. Change in mentors will likely have funding implications. If the student is funded by the ENS Program or a research grant, then funding must also be available for an approved change in mentors.

## Resources

**Writing Center for Graduate Students:** ASU has in-person [writing tutoring](#) specifically serve students enrolled in **500, 600 and 700 level classes**. These centers offer appointment-based writing assistance for graduate students as well as space to read, write, and discuss their graduate research and writing projects. Students are encouraged to meet with a graduate writing consultant to receive feedback on their writing projects at any stage in their writing process. Writing center is available online and Downtown: University Center Building (UCENT) Room 101. Phone number is 602-496-4278. Hours open Mon, Tues, Thurs 10am-5pm, Wed 10am-7pm and Fri 10am-3pm.

**Accommodations for Disabilities:** The Americans with Disabilities Act (ADA) is a federal antidiscrimination statute that provides comprehensive civil rights protection for persons with disabilities. One element of this legislation requires that all qualified students [with documented disabilities](#) be guaranteed a learning environment that provides for reasonable accommodation of their disabilities. If you believe you require an accommodation, please contact the DRC by calling (480) 965-1234 or emailing [DRC@asu.edu](mailto:DRC@asu.edu). To speak with a specific office, please use the following information:

### **ASU Online and Downtown Phoenix Campus**

University Center Building, Suite 160  
602-496-4321 (Voice)

### **West Campus**

University Center Building (UCB), Room 130  
602-543-8145 (Voice)

### **Polytechnic Campus**

480-727-1165 (Voice)

### **Tempe Campus**

480-965-1234 (Voice)

**Counseling services:** Each campus counseling center provides confidential individual assessment and counseling, psycho-educational programming, and consultation services. Counseling staff have training and experience in issues facing university students and are committed to helping them adjust to campus life and meet their academic goals. For the Downtown campus, call 480-965-6146 for appointment availability. More information can be found [here](#).

**Wellness center:** As exercise and nutritional sciences students, self-care is also needed. We encourage our students to “walk the talk.” ASU has wellness services for all students. More information is available [here](#).

## **Research Funding and Awards**

In addition to graduate fellowships and grants at ASU, there are thousands of national, state and private organization resources to search, including awards for teachers, those seeking a career in STEM (science, technology, engineering and mathematics) fields, military and their family members, minorities and women who are underrepresented in their fields, and many more. Please visit this [site](#) for more information.

### ASU Graduate Fellowships and Awards

[Completion Fellowships](#)

[Doctoral Enrichment Fellowships](#)

[Herman E. Demund Memorial Fellowship](#)

[Dissertation Fellowships](#)

[Graduate College Fellowships](#)

[Earl A. and Lenore H. Tripke Fellowship](#)

[Lattie and Elva Coor Graduate Fellowship](#)

[Achievement Rewards for](#)

[College Scientists \(ARCS\)](#)

[Martha E. Bernal Memorial](#)

[Award](#)

[Travel Awards](#)

### GPSA Graduate Research Support Program.

- GPSA supports individual research up to \$2,000 per year
- [JumpStart Research Grant](#), with awards up to \$500 to get your projects off the ground.
- [Athletics Grants](#), awards of \$1500 and \$3500 are available.

**ENS Sponsored Awards.** There are several different awards currently available to deserving ENS students.

- **John and Elizabeth Ainsworth ENS Doctoral Student Travel Award.** The purpose of this award is to provide travel support (up to \$500) to present research at scientific conferences. Applications are due October 1<sup>st</sup> (for Fall semester travel) and March 1<sup>st</sup> (for Spring semester travel).
- **The Dr. Christine Wells Outstanding Graduating Researcher Award.** This award honors our past colleague and collaborator, Dr. Christine Wells, Professor Emeritus. This award is selectively given to a single outstanding graduating doctoral student researcher. This is not necessarily an annual award but is given if an individual is identified who has already established a record outstanding scholarship of discovery as evidenced by strong record of publication. Mentors submit the application on behalf of a graduating student. The deadline for submission is March 1<sup>st</sup>.
- **The Dr. Charles Corbin Outstanding Graduating Leader, Teacher, and Scholar Award.** This award honors our past colleague and collaborator, Dr. Charles Corbin, Professor Emeritus. This award is selectively given to an outstanding graduating doctoral student who is recognized for his or her overall abilities in leadership, service, teaching, and scholarship. Mentors submit the application on behalf of a graduating student. The deadline for submission is March 1<sup>st</sup>.
- **The ENS Block Grant Awards:** The purpose of this award is to recognize outstanding efforts by ENS PhD students, with an emphasis on efforts related to high quality research. ASU provides funding for these awards on an annual basis through a block grant. The amount the ENS PhD program is provided varies from year to year.

**College of Health Solutions Awards.**

- **CHS Graduate Student Travel Grant.** This grant provides up to \$700 to support PhD students in presenting research.

# ENS Program Handbook Appendices

## Appendix A: PLAN OF STUDY EXAMPLE

Prerequisites: Required Prior to Admission into Program				
✓	Course		✓	Course
	Graduate Level Research Methods			Graduate Level Research Statistics
Research Core (35 Credit Hrs)				
✓	Sem	Yr	Required Research and Statistics Courses (16 Credit Hrs)	Credits
	Fall*	1 <sup>st</sup>	EXW 640: ANOVA and Research Design	3
	Spring*	1 <sup>st</sup>	EXW 645: Correlation, Regression and Multivariate Analyses	3
	Fall*	1 <sup>st</sup>	EXW 700: Advanced Research Methods	3
	Fall	2 <sup>nd</sup>	EXW 701: Advanced Research Methods II	3
	Fall	1 <sup>st</sup>	EXW/NTR 691: Research "Doc" Seminar	1
Additional Stats, Research Methods and/ or Applied Research Experience (19 Credit Hrs) <i>examples below</i>				
✓	Spring	2 <sup>nd</sup> yr	NTR 501: Research Methods II: Survey Design	3
	Fall	2 <sup>nd</sup> yr	NTR 598: Nutritional Epidemiology	3
	Spring	2 <sup>nd</sup> yr	NTR 503: Designing Behavior Change Interventions	3
Other courses as they are available				
	Fall/Spring	1 <sup>st</sup> -2 <sup>nd</sup> yr	EXW or NTR 692: Directed or Independent Research	1- 12
	Fall/Spring	3 <sup>rd</sup> yr	EXW or NTR 792: Directed or Independent Research	1- 12
<b>Total Credits</b>				<b>35</b>
Professional Development (5 Credit Hrs)				
✓	Sem	Yr		Credits
	Spring	2 <sup>nd</sup>	EXW 784: Teaching Practicum/ Internship	2
	Spring	2 <sup>nd</sup>	NTR 791: Ph.D. Professional Seminar	3
<b>Total Credits</b>				<b>5</b>
Focus Area Courses (15 Credit Hours)				
✓	Choose 5 courses in one of the focus areas below. No more than 3 credit hours may be taken as Independent study without approval. No more than 3 credit hours may be taken outside ENS without approval.			Credits
	Behavioral and Population Sciences			15
	Metabolism and Physiology			15
	Biomechanics and Motor Control			15
<b>Total Credits</b>				<b>15</b>
Dissertation (12 Credit Hours)				
✓	Sem	Yr	Yr	Credits
	Fall/Spg	4 <sup>th</sup>	EXW/NTR 799: Dissertation	12
<b>Total Credits</b>				<b>12</b>



Appendix B  
ENS PLAN OF STUDY  
4-YEAR EXAMPLE BY SEMESTER

<b>FALL YEAR 1 (9 Credits)</b>	<b>SPRING YEAR 1 (9 Credits) = 18 Total</b>	<b>SUMMER yr1</b>
EXW 640 (3 cr)	EXW 643 (3 cr)	<i>Progressive Exam: Stats &amp; Research Methods</i>
EXW 700 (3 cr)	EXW /NTR Focus 1 (3 cr)	
EXW/NTR 691 (1 cr)	EXW/NTR 692 (3 cr)	
EXW/ NTR 692 (2 cr)		
<b>FALL YEAR 2 (10 Credits)</b>	<b>SPRING YEAR 2 (12 Credits) = 37 Total</b>	<b>SUMMER yr2</b>
EXW 701 (3 cr)	EXW /NTR Focus 3 (3 cr)	<i>Work on Written Comprehensive  Exam  Oral Defense</i>
EXW/ NTR Focus 2 (3 cr)	EXW /NTR Focus 4 (3 cr)	
EXW/NTR 692 or Applied Stats/ RM (4 cr)	EXW/NTR 791 (Session A) (3 cr)	
	EXW/NTR 784 (Session B) (2 cr)	
<b>FALL YEAR 3 (10 Credits)</b>	<b>SPRING YEAR 3 (8 Credits) = 55 Total</b>	<b>SUMMER yr3</b>
EXW/NTR 792 (4 cr)	EXW 791 (3 cr)	<i>Dissertation Proposal Defense</i>
EXW/ NTR Focus 5 (3 cr)	EXW/NTR 792 (5 cr)	
<b>FALL YEAR 4 (6 Credits)</b>	<b>SPRING YEAR 4 (6 Credits) = 67 Total</b>	<b>SPRING yr4</b>
EXW/ NTR 799 (6 cr)	EXW /NTR 799 (6 cr)	<i>Dissertation Defense</i>

**Exercise and Nutritional Sciences PhD Program: Checklist for Completing Degree****Ongoing Checklists** (until candidacy)

<b>Steps and Deadlines</b>	<b>Check when complete</b>
1. In the summer before the first term, complete and pass the online statistics pre-test module.	<input type="checkbox"/>
2. Register for courses promptly each term  Some courses, or sections of a course, fill up quickly so you are encouraged to register when your name appears in the registration queue. You must be registered by the first day of each term; if not, you will be “inactive” and will have to complete a form (and likely pay a late registration fee) in order to be re-admitted.  Tips: <ul style="list-style-type: none"> <li>• While most coursework should be completed before the Comprehensive Oral Exam, students are permitted to take coursework after the Comprehensive Oral Exam.</li> <li>• Dissertation credits cannot be taken prior to passing the Comprehensive Oral Exam and the Dissertation Proposal Oral Exam.</li> <li>• In any given term, if you don't register for at least 6 credits (to maintain full-time status), and you need 6 credits for your research/teaching assistantship or other financial loan reasons.</li> </ul>	<input type="checkbox"/>
3. Complete an annual scholarship contract with your mentor  All doctoral students must have their academic progress reviewed annually. All PhD students who have not been advanced to candidacy, are to develop a contract with their Mentor regarding their scholarly and service goals to be accomplished each year they are enrolled at ASU. This agreement/contract is to be signed by the student and Mentor.  Tips: <ul style="list-style-type: none"> <li>• These contracts should be filed no later than October 15th. Send all contracts to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>).</li> <li>• A template is included in the ENS student handbook. Examples are available upon request.</li> </ul>	<input type="checkbox"/>
4. Complete mid-term review with ENS Director  All 1st and 2nd year PhD students and selected others will be asked to meet with the ENS Director in –the fall (October or November) to discuss issues that concern the student, to determine if the student is on track and whether the program is meeting the student's needs. Work with the Graduate Coordinator ( <a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a> ) to schedule this meeting with Dr. Bruening.	<input type="checkbox"/> <input type="checkbox"/>
5. Complete annual review  Until you pass to candidacy (after your dissertation proposal), you will be required to hold an annual review each Spring semester with your Supervisory Committee (4 members, 3 of which need to be ENS PhD Mentors). An ENS Executive Committee faculty member also needs to attend the meeting. Your annual review will review your progress towards accomplishing your annual contract, your course grades, and other accomplishments and challenges in the program. At least <b>10 business days</b> before the meeting, send your committee members a BRIEF summary, addressing the above points in a single PDF. Send a copy of the summary to the Graduate Coordinator ( <a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a> ).  Tips:	<input type="checkbox"/>

- |   |  |
|---|--|
| <ul style="list-style-type: none"><li>• The annual review needs to be completed by the first Friday in May, so start planning in late March/early April.</li><li>• Send the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>) the time/date of the meeting at least <b>10 business days</b> in advance, and she will schedule the room and send a calendar invite to the attendees.</li></ul> |  |
|---|--|

**Appendix C (con't)**  
**ENS Milestones to Graduation**

Steps and Deadlines	Check when complete
<p>1. Progressive Exam</p> <p>The aim of the Progressive Exam is to assess if students are able to apply their knowledge to real research design problems and statistical analysis. There will be less emphasis on memorization and computation and more emphasis on design logic, application, and interpretation. After you successfully pass all parts of the Progressive Exam, the program coordinator will submit a <b>Progressive Exam Report</b>, confirming you have passed. You also receive an email confirming you have passed this milestone.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• Students cannot sit for the Progressive Exam unless you have earned a B or higher in EXW 700, EXW 640, EXW 643. The content of the exams will be distinct from the final exam for these various courses.</li> <li>• The Progressive Exam is offered in May of each year.</li> </ul>	<input type="checkbox"/>
<p>2. Submit your iPOS</p> <p>Fill out and complete your Plan of Study. Directions on how to submit your iPOS is available at this <a href="#">web page</a>. Meet with your mentor to review your iPOS before posting online, and have your mentor sign the form that you both agree to it (see ENS PhD Blackboard/forms) and submit a copy to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>)</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• Your iPOS should be completed by your 3<sup>rd</sup> semester in the program.</li> <li>• It can be updated, but each change will require approval from the ENS Director and the Graduate College. Plan ahead.</li> <li>• iPOS must be completed and approved before comprehensive exams can be taken.</li> <li>• Students may not include on their iPOS any credit hours that have been applied towards a previously awarded degree.</li> <li>• A maximum of 12 credits can be transferred into the iPOS from ASU or another institution.</li> <li>• While you may have additional courses that are listed on your transcript and not included on your iPOS, the courses in the iPOS must match those listed on your transcript.</li> </ul>	<input type="checkbox"/>
<p>3. Assign members to your Comprehensive Exam committee</p> <p>This committee serves on the student's written and oral comprehensive exams. Students must verify the members of the Comprehensive Exam committee in their iPOS. Any changes to the Comprehensive Exam committee from the Supervisory Committee must be approved by the ENS Director and the Graduate College.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• Students tend to have the same members of their Supervisory Committee on their Comprehensive Exam committee, but this is not required.</li> <li>• It is recommended that your Comprehensive Exam committee is made up the same members of your Dissertation Committee.</li> <li>• You cannot sit for the Comprehensive Exam until your committee members are approved by the ENS Director and the Graduate College. Plan ahead.</li> <li>• At least 5 members (3 of which are ENS faculty mentors) are required on this committee.</li> <li>• If a committee member is not an ENS Faculty mentor, then students must complete and submit an <a href="#">Approval Form</a> and the potential member's CV. Send these documents to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>). The Student Plan code for ENS is: ECNUTRIPHD.</li> </ul>	<input type="checkbox"/>

<p>4. Comprehensive Exam</p> <p>Upon completion of most course work (six or less credit hours remaining in iPOS excluding dissertation hours), and prior to proposing or commencing dissertation research, students are to meet with their Mentor and their Supervisory Committee members to discuss preparing for their comprehensive exams. The structure and content of the comprehensive exams includes three components with distinct time guidelines (see ENS PhD Student Handbook): 1) Critique a manuscript, 2) Prepare a novel grant proposal and 3) Oral Exam.</p> <p>After the oral exam, the Committee determines whether the student has passed or failed the comprehensive exams. Chair solicits signatures from the committee on the <a href="#">Report of the Comprehensive Exam Pass/Fail Form</a> and submits the form to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>). Dr. Bruening will submit the results to the Graduate College. The student must pass all three sections of the Comprehensive Exam.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• <b>Students should complete the comprehensive exams within two years of passing the progressive exam. Failure to do so will result in a loss of funding.</b></li> <li>• Make sure your Comprehensive Exam Committee has been approved before you sit for the exam.</li> <li>• All students must be registered for a minimum of one credit hour (including summer) the semester that they plan on defending comprehensive examinations.</li> <li>• After your oral exam, submit your form to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>).</li> </ul>	<input type="checkbox"/>
<p>5. Assign/update members to your Dissertation committee</p> <p>The Dissertation Committee must have five (5) members and contain a minimum of three approved Mentors in the ENS program.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• Students cannot sit for the Dissertation Proposal until the committee is approved by the ENS Director and the Graduate College. Plan ahead.</li> <li>• If a committee member is not an ENS Faculty mentor, then students must complete and submit an <a href="#">Approval Form</a> and the potential member's CV. Send these documents to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>). The Student Plan code for ENS is: ECNUTRIPHD.</li> </ul>	<input type="checkbox"/>
<p>6. Dissertation Proposal Oral Exam</p> <p>The student must provide a formal dissertation prospectus to the Dissertation Committee at least <b>10 business days prior</b> to the defense. The prospectus must be formatted correctly. The final structure of the proposal is determined by the committee but at minimum it must include: a formal title page, introduction with a statement of purpose/ question/ specific aims and hypotheses, a complete review of the related literature, and must describe in detail the methods to be used including descriptions of subjects, instruments, statistics and other procedures. A copy of any relevant IRB forms should be included with the proposal. The student will not be able to begin data collection until all approvals of the proposal have been completed and after all IRB approvals are done. Within 10 business days of the proposal defense, please send an abstract and date of the event to <a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>. A room will be scheduled, Zoom meeting, and announce the event to ENS faculty and students. The Chair solicits signatures from the committee on the <a href="#">Report of the Proposal Defense Pass /Fail form</a> and submits the form to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>). Dr. Bruening will submit the results to the Graduate College.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• The dissertation proposal defense may not be scheduled until the student has passed the comprehensive exams.</li> <li>• Make sure your Dissertation Committee has been approved before you sit for the exam.</li> </ul>	<input type="checkbox"/>

<ul style="list-style-type: none"> <li>All students must be registered for a minimum of one credit hour (including summer) the semester that they plan on defending their dissertation proposal.</li> <li><b>Students should defend their dissertation proposal within one year of passing their comprehensive exams. Failure to do so will result in a loss of funding.</b></li> </ul>	
<p>7. Final steps to graduate: Apply for graduation</p> <p>Prior to defending your dissertation, you must apply for graduation through the “Graduation” tab on your MyASU. At this point, there will be an audit to determine the status of your iPOS, submit documents for format review, and schedule the Oral Defense. You must submit a Survey of Earned Doctorates form.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>You have an approved iPOS (no pending changes or petitions)</li> <li>You have met all minimum 3.0 GPA requirements (iPOS and Graduate)</li> <li>You have an approved full committee on the iPOS (no pending changes)</li> <li>You have satisfied all milestone requirements above (for example, written comprehensive examination)</li> <li>You have reached candidacy</li> <li>You are an active student and currently enrolled. Confirm that your defense date occurs in the last semester that you are enrolled. Failure to do so, may delay graduate and you may incur additional fees.</li> </ul>	<input type="checkbox"/>
<p>8. Final steps to graduate: Scheduling your Dissertation Defense</p> <p>Schedule three hours for your Final Oral: the Final Oral Exam includes a one-hour public presentation and then a two-hour meeting with your committee. Your committee must have at least two weeks’ notice that your dissertation will be given to them by a specific date. It is also required that all committee members have at least two weeks to read your dissertation before the exam date. In other words, a month before the exam, the committee has to know the exam date and that they are getting the final draft in two weeks.</p> <p>Submit your Final Oral Exam date electronically to the Graduate College within 10 days of your defense. This ensures the Graduate College will send the Final Exam Report form (a paper form) to the Chair of the Final Oral Exam.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>At least 50% of your committee must be physically present at the defense. Your chair must be physically present at the defense.</li> <li>Please pay careful attention to the graduate school deadlines. If you do not defend your dissertation by ASU Graduate College’s semester deadline, you are responsible for any tuition and fees incurred in the subsequent semester.</li> <li>Once you have a date, work with the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>) to schedule a room large enough for a public defense.</li> </ul>	<input type="checkbox"/>
<p>9. Final steps to graduate: Public announcement</p> <p>The one-hour presentation must be announced to all ENS doctoral faculty and doctoral students. At least two weeks prior to your final oral exam, please send the following information to the Graduate Coordinator (<a href="mailto:CHSGrad@asu.edu">CHSGrad@asu.edu</a>) for the announcement: how you want your name and previous graduate-level degree listed, the day, date and time of the one-hour presentation, building and room location; title of the thesis/talk and an announcement abstract no more than 300 words.</p>	<input type="checkbox"/>

<p>10. Final steps to graduate: Submitting your Dissertation</p> <p>Once the defense has been scheduled, the student must upload his or her complete, defense-ready document for format review to the Graduate College 10 calendar days prior to the defense, through the student's iPOS. Once the defense has been scheduled, the student must upload his or her complete, defense-ready document for format review to the Graduate College <u>10 calendar days</u> prior to the defense.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• Students must be enrolled in at least (1) credit hour during the semester they plan to defend their thesis/dissertation and while working on format revisions.</li> <li>• Documents should only be submitted after consultation with your committee/chair and must be a complete, defense-ready document (i.e. meets standards set by <a href="#">ASU Graduate College Format Manual</a>, complete content).</li> <li>• For questions regarding documents that require special format, please email <a href="mailto:gradformat@asu.edu">gradformat@asu.edu</a>.</li> </ul>	<input type="checkbox"/>
<p>11. Final steps to graduate: PhD Degree Completion</p> <p>On the day of your defense, the Pass/Fail form will have already been sent to your committee chair from Graduate College for your committee to sign. It is the responsibility of the committee chair to take the form to the defense location.</p> <p>Once the defense is over, most students have some revisions to complete. Begin working on these soon after your defense. The student is to make any final corrections to the dissertation as recommended by the committee and mentor and then the final version of their document (that has been approved by the mentor and supervisory committee) is evaluated by a format reviewer in Graduate College and submitted to UMI/ProQuest for printing. After making the required corrections outlined in the email and reviewed the entire document, then upload the document to the student's iPOS.</p> <p>Student will receive an email from the Graduate College format advisor notifying you that your document is ready for electronic submission through UMI/ProQuest. Read the email carefully as you may receive instructions before final submission to UMI/ProQuest. You must have received format approval from Graduate College and submitted your Pass/Fail form to Graduate College in order to be eligible to complete the final step of submitting to ProQuest.</p> <p>Tips:</p> <ul style="list-style-type: none"> <li>• To avoid jeopardizing your graduation, be sure to submit your final revisions by the posted semester deadline (graduation deadlines). If the deadline is not met, the student will be required to register (and pay) for one (1) graduate-level credit hour the following semester to be able to graduate.</li> <li>• Work with the ENS Director to confirm that your final dissertation credits have been entered.</li> <li>• A student will not receive a letter of degree completion until all final grades are entered.</li> </ul>	<input type="checkbox"/>

Example of Annual Scholarship and Service Contract  
Academic Year: \_\_\_\_\_

Student Name: \_\_\_\_\_

Date: \_\_\_\_\_

Mentor Name \_\_\_\_\_

Scholarly Goals

(Be very specific in terms of dates/ conference names/ locations/ abstract names/ journal titles and locations etc.)

- 1) To learn and acquire skill in .....
  - a. Demonstrate proficiency in ...
    - i. To illustrate this skill...
  - b. Demonstrate proficiency in ...
    - ii. To illustrate this skill...
- 2) Assist with ...
  - a. Demonstrate competence in....
  - b. Gain an understanding of...
- 3) Write and submit \_\_\_\_ manuscript and submit to \_\_\_\_\_
- 4) To attend at least two (2) professional conferences (list specifics) \_\_\_\_ and \_\_\_\_.
- 5) To submit one (1) abstract to academic conference. (give specifics).

Service Goals:

- 1) To participate in the Building Healthy Lifestyles Conference.
  - a. Assist with ....
- 2) Volunteer reviewer for GPSA grants.
- 3) To participate in the Exercise and Wellness Graduate Club including ....

\_\_\_\_\_  
Student Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mentor Signature

\_\_\_\_\_  
Date



## Appendix E

### Annual Review Portfolio Requirements

Please organize the following documents into one pdf file in the following order 1-9.  
Submit to the Graduate Coordinator ([CHSGrad@asu.edu](mailto:CHSGrad@asu.edu)).

1. A signed copy of the Annual Review Cover Page Form
2. A copy of the signed Annual Scholarly and Service Contract
3. Provide a statement/ short paragraph addressing each of the following (please limit to 2 pages total):
  - Status of the Plan of Study (iPOS)
  - Status of coursework performance and GPA
  - Status of goals in annual contract
  - Status of current proposed research and/or evidence of progress toward the dissertation
  - Any awards or grants received (be specific).
4. Provide the following:
  - An updated copy of student's curriculum vitae
  - A current copy of the student's transcript
  - A copy of the iPOS

Appendix F

Annual Review Cover Page Form (please complete each Spring semester until Candidacy)

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Focus Area: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

---

**Supervisory Committee (5)**

Chair: \_\_\_\_\_

Member: \_\_\_\_\_

Member: \_\_\_\_\_

Member: \_\_\_\_\_

Member: \_\_\_\_\_

**Comprehensive Exam/ Dissertation Committee (5)**

Chair: \_\_\_\_\_

Member: \_\_\_\_\_

Member: \_\_\_\_\_

Member: \_\_\_\_\_

Member: \_\_\_\_\_

---

**Program Course Requirements and Milestones**

Date of Entry into the ENS Program: \_\_\_\_\_ Date iPOS filed: \_\_\_\_\_ Current iPOS status: \_\_\_\_\_

Research Project(s) during the 1<sup>st</sup> year: \_\_\_\_\_

Research Project(s) during the 2<sup>nd</sup> year: \_\_\_\_\_

Research Project(s) during the 3<sup>rd</sup> year: \_\_\_\_\_

List the course prefix & course #, semester/year completed (e.g., EXW700 Fall'19)

Research Core: (35-hrs, including Doc Seminars):

Doc Seminar (3):

Teaching Internship (2):

PhD Seminar (3)

List the date program milestone completed/passed

Progressive Exam:

Comprehensive Written Exam:

Comprehensive Oral Defense:

Dissertation Proposal Defense:

Anticipated Graduation:

*Candidacy is defined as comprehensive examinations passed, dissertation proposal formally approved, and formal notification from the Graduate Education Program of PhD candidacy. Twelve (12) credit hours of dissertation (799) must be taken after formal admission to candidacy.*

**Mentor's Comments/Review Regarding Student Performance and Status of Annual Scholarly and Service Contract**

Please provide a brief review and statement concerning coursework performance; professional accomplishments and status research projects; and service accomplishments.

Mentor Signature:

\_\_\_\_\_

**EVALUATION OF TEACHING / RESEARCH  
ASSISTANTS/ ASSOCIATES**

Name: \_\_\_\_\_ TA/RA \_\_\_\_\_ Faculty Supervisor(s) \_\_\_\_\_

Semester/ Year \_\_\_\_\_ Evaluation Date: \_\_\_\_\_

(After completing and signing this form, faculty should provide a copy to the TA/RA at the time of the evaluation. Additional pages may be attached as needed.)

**EVALUATION:**

Indicate performance by entering one of the following ratings and providing comments as relevant.

General	Rating	Comments
On-time attendance		
Meets deadlines		
Organization		
Initiative		
Appropriate appearance		
Communication with supervisor		
Knowledge and skills		
Maintained at least 3.0 GPA		Grade point average is: _____
Maintained at least 6 credit hours		
<b>TA</b>		
Preparation for class		
Accuracy of information provided		
Timely delivery of class materials		
Quality of explanations		
Following directions		
Work quality and efficiency		
Cooperative ability with other TAs		
Respectful treatment of students		
Professional behavior & interactions		
Other:		
<b>RA</b>		
Literature searches		
Manuscripts/writing		
Study-design tasks		
Interaction with study participants		
Laboratory skills		
Data organization/analysis		
Attention to protocol detail		
Timely delivery of required material		

Professional behavior & interactions		
Other:		
Overall performance		

Additional Comments:

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Problems identified (if applicable):

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Follow-up actions to be taken (if applicable):

---



---



---

\_\_\_\_\_  
**Faculty Signature**

\_\_\_\_\_  
 Date

\_\_\_\_\_  
**TA/RA Signature**

\_\_\_\_\_  
 Date

**Fall evaluation:** *Completed Faculty Evaluation Form to TA/RA*

DATE: \_\_\_\_\_

**Spring evaluation:** *Completed Faculty Evaluation Form to TA/RA*

DATE: \_\_\_\_\_

Copy of evaluation is to be forwarded to student's advisor and the Director of the ENS Program and Graduate Coordinator for placement in the student's official file

## Appendix H

### ENS Comprehensive Exam: Manuscript Review

Please review the manuscript selected by your mentor and your committee and write a critique as if you were providing comments to the authors and/or the editor by answering the following questions. If with your assessment you determine that the work is publishable, include constructive suggestions on how to improve the manuscript (design, analysis, data presentation, highlighting of strengths and limitations, appropriateness of conclusions, etc.). If you would reject the manuscript, justify your comments with constructive feedback regarding the flaws of the study design, analysis or manuscript content.

1. Comment on the appropriateness and sufficiency of the rationale/review of the literature
2. Provide a substantive critique of the strengths and/or weakness of the study design, adequacy of the sample and sampling approach, measurement and analysis techniques used.
3. Comment on how results are presented, in the narrative and in tables and figures.
4. Provide a substantive critique the discussion and conclusion.
5. Comment on the overall impact of the work in relationship to current state of the science.
6. Clearly indicate if you would i) accept the manuscript in its current form, ii) suggest the authors make minor revisions, iii) suggest the authors make major revisions, or iv) reject the manuscript.
7. Based on the guidelines to authors form the journal, are there any additional feedback that you would provide to the authors?

### Grading Rubric

Questions	Scale	Maximum Points
<b>Q1</b>	0-4 (unsatisfactory – exceptional)	4
<b>Q2</b>	0-8 (unsatisfactory – exceptional)	8
<b>Q3-Q5</b>	0-4 (unsatisfactory – exceptional)	12
<b>Q6-Q7</b>	0-1	2
<b>Constructive, clear, understandable criticism, respectful tone</b>	0-2	2
<b>Writing</b>	0-2	2
<b>Total</b>		30

## Appendix H (con't)

### ENS Comprehensive Exam Grant Reviewers Guidelines

Grant is to be 6 pages plus a Significant Aims page, and not including references. (It may contain appendix if justified). The grant is not expected to have a budget.

The NIH scoring system was designed to encourage reliable scoring of applications. The NIH grant application scoring system uses a 9-point scale for both overall impact scores and scores for individual review criteria. NIH expects that scores of 1 or 9 to be used less frequently than the other scores; 5 is considered an average score. Reviewers who assign high ratings to all applications diminish their ability to communicate the scientific impact of an individual application. Therefore, reviewers who carefully consider the rating guidance below can improve the reliability of their scores as well as their ability to communicate the scientific impact of the applications reviewed. We encourage reviewers to anchor their responses on the score of 5 and provide adjustments based on the student's performance on each criterion. Reviewers will provide 5 scores: 1) Significance; 2) Innovation; 3) Approach; 4) Environment; and, 5) Overall Impact.

#### Summary

- The NIH grant application scoring system uses a 9-point scale
- Rating should be in whole numbers only (no decimal ratings).
- Scores of 1 or 9 to be used less frequently than the other scores.
- 5 is considered an average (anchor) score.

#### Scoring Guide

Overall Impact or Criterion Strength	Score	Descriptor
High	1	Exceptional
	2	Outstanding
	3	Excellent
Medium	4	Very Good
	5	Good
	6	Satisfactory
Low	7	Fair
	8	Marginal
	9	Poor

#### Criterion Scoring

- Criterion scores are intended to convey how each assigned reviewer weighed the strengths and weaknesses of each section
- Providing scores without providing comments in the review critique is discouraged
- Each review criterion should be assessed based on the strength of that criterion in the context of the work being proposed
- Reviewers should consider the strengths and weaknesses within each criterion. For example, a major strength may outweigh many minor and correctable weaknesses.
- As a result, a reviewer may give only moderate scores to some of the review criteria but still give a high overall impact score because the one review criterion critically important to the research is rated highly; or a reviewer could give mostly high criterion ratings but rate the overall impact score lower because the one criterion critically important to the research being proposed is not highly rated.

#### Overall Impact Score

- The impact score for the application is not intended to be an average of criterion scores.
- The impact score for an application is based on each individual reviewer's assessment of the scored criteria
- Reviewers are guided to use the full range of the rating scale and spread their scores to better discriminate among applications
- Reviewers whose evaluations or opinions of an application fall outside the range of those presented by the

Last updated: June 2019

assigned reviewers and discussant(s) should ensure that their opinions are brought to the attention of the entire committee

- Overall impact, for a research project, is the project's likelihood to have a sustained, powerful influence on the research field(s) involved, but may be defined differently for different types of applications.
- An application does not need to be strong in all categories to be judged likely to have major impact, e.g., a project that by its nature is not innovative may be essential to advance a field

The mentor will summarize the scores and provide the student with the reviewer's comments. The Student will prepare a presentation for the comprehensive oral defense that will address the weaknesses identified by the reviewers.

**APPENDIX I**

**ENS COMPREHENSIVE EXAM GRANT REVIEW FORM**

**OVERALL IMPACT**

Reviewers will provide an overall impact score to reflect their assessment of the likelihood for the project to exert a sustained, powerful influence on the research field(s) involved, in consideration of the following five scored review criteria, and additional review criteria. An application does not need to be strong in all categories to be judged likely to have major scientific impact. *Like in the NIH scoring process, please use the score of 5 as an anchor (starting place) for your scoring.*

<u>Overall Impact</u> Write a paragraph summarizing the factors that informed your Overall Impact score.
<b>Score</b> - Select a score

**SCORED REVIEW CRITERIA**

Reviewers will consider each of the five review criteria below in the determination of scientific and technical merit, and give a separate score for each.

1. <u>Significance</u> Score – Select a score
<b>Strengths</b> <ul style="list-style-type: none"><li>•</li></ul> <b>Weaknesses</b> <ul style="list-style-type: none"><li>•</li></ul>

2. <u>Investigator(s)</u> Score – Select a score
<b>Strengths</b> <ul style="list-style-type: none"><li>•</li></ul> <b>Weaknesses</b> <ul style="list-style-type: none"><li>•</li></ul>

3. <u>Innovation</u> Score – Select a score
<b>Strengths</b> <ul style="list-style-type: none"><li>•</li></ul> <b>Weaknesses</b> <ul style="list-style-type: none"><li>•</li></ul>

4. <u>Approach</u> Score – Select a score
<b>Strengths</b> <ul style="list-style-type: none"><li>•</li></ul> <b>Weaknesses</b> <ul style="list-style-type: none"><li>•</li></ul>

5. <u>Environment</u> Score – Select a score
<b>Strengths</b> <ul style="list-style-type: none"><li>•</li></ul> <b>Weaknesses</b> <ul style="list-style-type: none"><li>•</li></ul>



**Report of Doctoral Comprehensive Exams**  
**ENS Internal PASS/FAIL Form**

The Plan of Study must be approved by the Graduate College Program before a student is eligible to take the doctoral comprehensive examinations. The completed report should be submitted immediately to the academic unit (ENS Program Administrative Specialist).  
 Instructions:

- Part 1: The student completes Part 1 and submits the form to Committee Chair.
- Part 2: After each the examination, the examining committee chair completes Part 2.
- Part 3a and b: The examining committee completes Part 3a for the written exam and Part 3b for the oral exam by signing the form and indicating their votes of Passed, Marginal Pass or Failed.
- Part 4: The head of the academic unit - **Director of ENS** - completes Part 4 by signing the form, confirming the majority vote of the examining committee, signifying that the proper procedures have been followed for the examination and the results of the examination will be electronically submitted to the Graduate College Program.

**Part 1: Student Information**

Name of Student (Last, First, Middle)		ASU ID #
Degree Doctor of Philosophy	Major Physical Activity Nutrition and Wellness	

**Part 2: Examination Dates (MM/DD/YYYY)**

Date of <b>Written</b> Comprehensive Examination Test	Date of <b>Oral</b> Comprehensive Examination Taken
---	---

**Part 3A: Written Examination Result**

PLEASE TYPE NAMES OF COMMITTEE	SIGNATURES	PASSED ( <input type="checkbox"/> )	FAILED ( <input type="checkbox"/> )
Chair			
Member			
Member			
Member			
Member			

**Part 3b: Oral Examination Result**

PLEASE TYPE NAMES OF COMMITTEE	SIGNATURES	PASSED ( <input type="checkbox"/> )	FAILED ( <input type="checkbox"/> )
Chair			
Member			
Member			
Member			
Member			

**Part 4: FINAL RESULT**

PASSED	FAILED	SIGNATURE, <b>HEAD OF ACADEMIC UNIT</b>	DATE
--------	--------	---	------

All comprehensive examination results, including failure in any one of the required examinations, must be reported to the Graduate College Program. Failure in the comprehensive examinations is final unless the student petitions for a re-examination, the supervisory committee, and the head of the academic unit recommend, and the Vice Provost for Graduate College approves the re-examination

**Report of Doctoral Dissertation  
 Proposal Defense  
 ENS Internal PASS/FAIL Form**

The student must successfully complete the doctoral comprehensive examinations and the results must have been electronically submitted to the Graduate College Program before the submission of the dissertation proposal/prospectus results. The student will be advanced to candidacy after successful completion of the dissertation proposal/prospectus.

**Instructions:**

- Part 1: The student completes Part 1.
- Part 2: The dissertation committee chair should write in the date (MM/DD/YY) of the proposal/prospectus defense.
- Part 3: The dissertation committee completes Part 3 by signing the form and indicating their votes of Passed or Failed.
- Part 4: The head of the academic unit is the **Director of ENS** completes Part 4 by signing the form, confirming the majority vote of the committee, and signifying that the proper procedures have been followed for the proposal/prospectus defense.

**Submission: The complete report should be submitted immediately to ENS Administrative Specialist (Carolyn Paige) HN Building.**

**Part 1: Student Information**

Name of Student (Last, First, Middle)		ASU ID #
Degree Doctor of Philosophy	Major Exercise and Nutritional Sciences	

**Part 2: Proposal/Prospectus Defense Date (MM/DD/YYYY)**

Date Taken
------------

**Part 3 Proposal/Prospectus Information**

PLEASE TYPE NAMES OF COMMITTEE	SIGNATURES	PASSED ( <input type="checkbox"/> )	FAILED ( <input type="checkbox"/> )
Chair			
Member			
Member			
Member			
Member			
Member			
Member			

Graduate Dissertation Committee: If the Committee, as listed above, is different than the committee listed on the approved Program of Study, the student should submit a Graduate Committee Change Form to officially change the committee.

**Part 4: FINAL RESULT**

PASSED	FAILED	SIGNATURE, <b>HEAD OF ACADEMIC UNIT</b>	DATE
--------	--------	---	------

All results, including failure of the dissertation proposal/prospectus, must be reported to the Graduate College Program. Failure of the proposal/prospectus is final unless the supervisory committee and the head of the academic unit recommend, and the Vice Provost for Graduate Education approves a second proposal/prospectus defense.



**Arizona State University**

**ENS Doctoral Dissertation Proposal and Doctoral Defense Public Announcement Form**

Submit to the Graduate Coordinator ([CHSGrad@asu.edu](mailto:CHSGrad@asu.edu)) no fewer than 10 business days prior to the approved schedule defense.

Student name:

Mentor name:

Committee members:

Title of Dissertation:

Date, time and location of Dissertation Defense:

Abstract:

## Appendix L

### **Mentor Approval and Renewal Procedures**

Interdisciplinary Ph.D. Degree Program  
in Exercise & Nutritional Sciences

#### **Application for Mentor Status:**

ASU faculty members who desire mentor status in the ENS Ph.D. program must submit an application to the Chair of the ENS Executive Committee. An updated curriculum vitae (electronic only) and a copy of 3 published papers must accompany the form. The Program Director must provide a memo stating that the faculty member is in good standing and making appropriate progress for his or her rank in the department. If the faculty is clinical faculty, then it is a justification from the student for this person to serve on the committee is also required (see ENS Handbook, committee section).

The Executive Committee reviews the new application and curriculum vitae to be sure that the applicant meets the criteria (see below) and the Graduate Education Program must approve the mentor.

**Mentor/Student Ratios.** In order to facilitate the full-time residency requirement of the program and the program goal to graduate students in a timely fashion, approved faculty will typically be limited to mentor one to three students. Student distribution to a mentor is determined by funding status and mentor needs. The upper limit for active students for any one mentor is four. In rare cases a mentor may petition to take five students. In such cases the mentor must petition the Executive Committee for an exemption. A letter must be submitted describing the unique circumstances associated with the request. Factors considered as unique would be current students have finished requirements and will soon graduate, evidence of longstanding success as a mentor (on-time graduation of students), and/or current students have taken leave from the program.

#### **Mentor Renewal Procedures:**

Every 3 years faculty who are current mentors will need to renew their mentor status. Please submit an application, an updated curriculum vitae (electronic only) to the Chair of the ENS Executive Committee.

The Renewal application form is reviewed and voted on for approval by the ENS Executive Committee and then sent to the Graduate College for approval.

#### **Criteria for Mentor Status:**

- Evidence of an **active and independent** program of research/creative productivity, including 3 first-authored or senior authored published journal articles and/or chapters in scholarly books or one scholarly book, within the preceding 3 years of the review process. (Alternatives to such publications may include externally funded grants that will lead to publications in the near future).
- Evidence of successful mentoring of graduate students (e.g., chairing and serving on PhD students' committees, particularly those involved with research activities).

Please note that only ASU tenure track faculty are permitted to serve as chair of an ENS doctoral committee.

**APPLICATION FOR MENTOR STATUS  
 INTERDISCIPLINARY PH.D. DEGREE PROGRAM IN  
 EXERCISE & NUTRITIONAL SCIENCES  
 \*Program of Study and Dissertation Committee Chairperson**

(Please send to: the Graduate Coordinator ([CHSGrad@asu.edu](mailto:CHSGrad@asu.edu))  
 For the ENS Executive Committee review)

Name: \_\_\_\_\_

Date: \_\_\_\_\_

Rank: \_\_\_\_\_

Effort:     % Research  
               % Service  
               % Teaching  
               % Administration

New Application

Renewal

**I. Research /creative productivity.**

List at least 3 first-authored or senior-authored publications in international/national refereed journals, or book chapters, or a scholarly book during the preceding 3 academic years.

- 1.
- 2.
- 3.

**II. Experience Mentoring Graduate Students.**

List doctoral or master students for whom you advised or served as a committee member for the past 3 years. Include date of completion.

Students Names						
Mark type of committee and if you are chair.						
Name -- Principal Advisor / Committee Member	MS	PhD	Chair	Proposal Meeting Date	Data Meeting Date	Defense Meeting Date

**III. List Student Theses/Dissertation Chaired Titles/Year**

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.
- 11.
- 12.
- 13.
- 14.
- 15.
- 16.
- 17.
- 18.
- 19.
- 20.

Access the full form [here](#).



## Committee Approval Request Individual Student Committee

This form should be completed when an academic unit requests special approval for qualified individuals to serve on Master's or Doctoral supervisory committees. For general information regarding eligibility to serve on supervisory committees, please reference the [ASU Graduate Policies and Procedures](#). For PhD committee eligibility guidelines, see [Graduate Faculty](#).

The nominee's Curriculum Vitae must be uploaded with submission of this form.

### Step 1: Student and Committee Contact Info:

#### Nominee Information:

Nominee Name	* Date of Birth (Required)	10 Digit ASU affiliate ID# (if available)
Email Address	Current Job Title	

Nominee will serve as:

Member    Co-Chair    Chair (A Chair will be automatically approved to serve at all levels.)

#### Student Information:

Student Name	10 Digit Affiliate ID#
Email Address	Student Plan Code

### Step 2: Provide a brief justification for this request. (Required)

### Step 3: Comments: (Optional – may be used for internal memo to Academic Unit Administration)

For PhD committee eligibility guidelines, see [Graduate Faculty](#).

## John and Elizabeth Ainsworth ENS Research Travel Award Application

*Applications due October 1 and March 1 for each Fall and Spring research presentations, respectively. Submit application materials to the Graduate Coordinator ([CHSGrad@asu.edu](mailto:CHSGrad@asu.edu))*

**Name:**

**Mentor's name:**

**E-mail address:**

**Date submitted:**

---

### **Meeting information**

Professional Meeting Name:

Date and Location of the Meeting:

Title of Presentation:

Provide a brief explanation of the significance and purpose of the research you are presenting to people not familiar with your field:

---

### **Expenses**

Receipts are needed for the following:

Abstract fee	\$
Registration	\$
Travel	\$
Lodging	\$
<b>Total</b>	<b>\$</b>

*Please submit a copy of the accepted abstract and acceptance letter with this form.*



## **Dr. Charles Corbin Outstanding Graduating Leader, Teacher, and Scholar Award**

**Description:** This award honors our past colleague and collaborator, Dr. Charles Corbin, Professor Emeritus. This award is selectively given to an outstanding graduating doctoral student who is recognized for his or her overall abilities in leadership, service, teaching, and scholarship. This is not necessarily an annual award but is given to any individual(s) identified who has an established record of accomplishments.

**Criteria:** Student(s) must demonstrate the following...

- Evidence of strong leadership in professional service, such as leadership in on-campus professional organizations; volunteerism in state, regional, and/or national professional organizations; and supporting the success of fellow graduate students
- Evidence of effective teaching skills through their students' instructor evaluations and through letters of recommendation from former students
- Scholarship of discovery as evidenced by publications and prestigious presentations
- Scholarship of integration as evidenced by professional publications (including books)

**Nomination Procedure:** Doctoral mentors may nominate graduating doctoral students.

- Mentors submit a one-page (maximum) description of the student's accomplishments in relation to the criteria above.
- Mentors should also submit a CV for the nominee.
- Mentors should email their nominee's name, along with the one-page or less description and CV to the Graduate Coordinator ([CHSGrad@asu.edu](mailto:CHSGrad@asu.edu))

**Decision Process:** The ENS Executive Committee Awards Committee will consider all nominations and determine a final awardee. (Note: This award is selectively given and may not be awarded annually).

**Award:** Certificate

## **Dr. Christine Wells Outstanding Graduating Researcher Award**

**Description:** This award honors our past colleague and collaborator, Dr. Christine Wells, Professor Emeritus. This award is *selectively given* to a single outstanding graduating doctoral student researcher. This is not necessarily an annual award but is given if an individual is identified who has already established a record of outstanding scholarship of discovery as evidenced by strong record of publication.

**Criteria:** Student must demonstrate the following:

- Strong record of publication (3+ published articles)
- Innovation in research, driven by curiosity and tenacity of spirit
- Record of dissemination of research at professional meetings

**Nomination Procedure:**

- Doctoral mentors may nominate graduating doctoral students.
- Mentors submit a one-page (maximum) description of the student's accomplishments in relation to the criteria above.
- Mentors should also submit a CV for the nominee.
- Mentors should email their nominee's name, along with the one-page or less description and CV to the Graduate Coordinator ([CHSGrad@asu.edu](mailto:CHSGrad@asu.edu))

**Decision Process:** The ENS Executive Committee Awards Committee will consider all nominations and determine a final awardee. (Note: This award is selectively given and may not be awarded annually).

**Award:** Certificate and \$500

## Appendix Q

### CHS Graduate Student Travel Grant Submission Procedures

CHS Master's, Professional Doctorate, and PhD students may request grant funds to defray travel expenses for the purpose of *disseminating their research findings*. Students who request this funding are also expected to apply for other funding from the Graduate College (see link below), relevant professional organizations, and from their faculty mentor.

#### Requirements:

1. The student must be listed as a presenting author on either a conference poster or presentation (co-author with faculty is acceptable). Proof of conference acceptance will need to be provided.
2. Request for forms must be submitted at least one month before travel. **Funds will not be approved after travel.**

#### Submission Deadlines:

**September 1<sup>st</sup> and February 1<sup>st</sup>**

*Note: There will be a limited amount of funding available for each cycle, so it is recommended that students apply as soon as possible.*

#### Maximum travel grant amounts:

\$500 Master's and Professional Doctorate students  
\$700 PhD students

#### Process:

1. **Student requests and submits DocuSign.** Request a CHS graduate travel form (DocuSign) from Angela Smith at [CHSTravel@asu.edu](mailto:CHSTravel@asu.edu). This is an electronic form that you will complete and sign. Complete all portions of the form including the justification for amount you are requesting.
2. **Program Coordinator approves/signs.** After you submit the form, it will be sent to your program coordinator for approval.
3. **Academic Success Hub Dean approves/signs.** The DocuSign will then be sent to the College level for approval (Assoc. Dean Julie Liss).
4. **Student is sent approval notification.** If approved, then the student is notified.
5. **Student inputs travel information into Concur Travel System.** When you are notified of a travel grant award, input your travel in the ASU Concur travel system. If you need assistance with Concur, contact Angela Smith at [Angela.L.Smith@asu.edu](mailto:Angela.L.Smith@asu.edu). Please note that this step must also be completed 30 days prior to traveling.

#### Additional notes:

- If your travel is funded by grants or other accounts, submit your travel request directly into the Concur travel system.
- All students should apply for travel grant support through the Graduate College (<https://graduate.asu.edu/pay-for-college/travel-awards> ) and ask their faculty mentor/advisor to provide matching or additional funds from grants or other budgets.